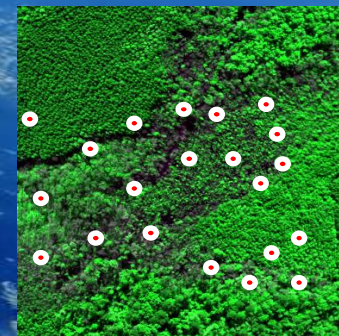
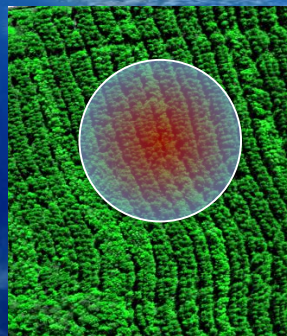


FLEX & Sentinel 2/3 Mission Development & Validation

D. Schüttemeyer & F. Gascon

M. Drusch, P. Goryl, S. Dransfeld

Limassol, February 2017



Overview of my presentation



- Overview: Campaigns at Work
- Latest developments at ESA
- FLEX Phase A/B
- FLEX Phase C/D
- Sentinel 2/3 & FLEX
- Some brief conclusions

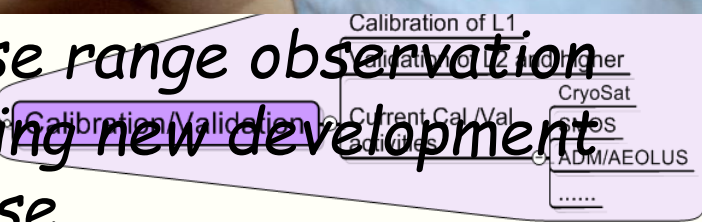


Why are ESA Earth Observation campaigns required ?

- Explore EO possibilities before going to space
- Prove EO measurement concepts work
- Develop interpretation methodology
- Develop calibration approach
- Develop validation methods using independent data
- Simulate data products (pre-launch)
- Validate results using independent data
- Develop applications



Close range observation during new development phase



Programmatic Background

ESA campaign activities started in 1981

142 campaigns as of September 2016

Typically 6 -10 campaigns/year

Strategic objectives:

Support strategic goals of EO Science Strategy

Transnational access to airborne facilities in member states

Foster partnerships with national and international organisations

Campaign activities address:

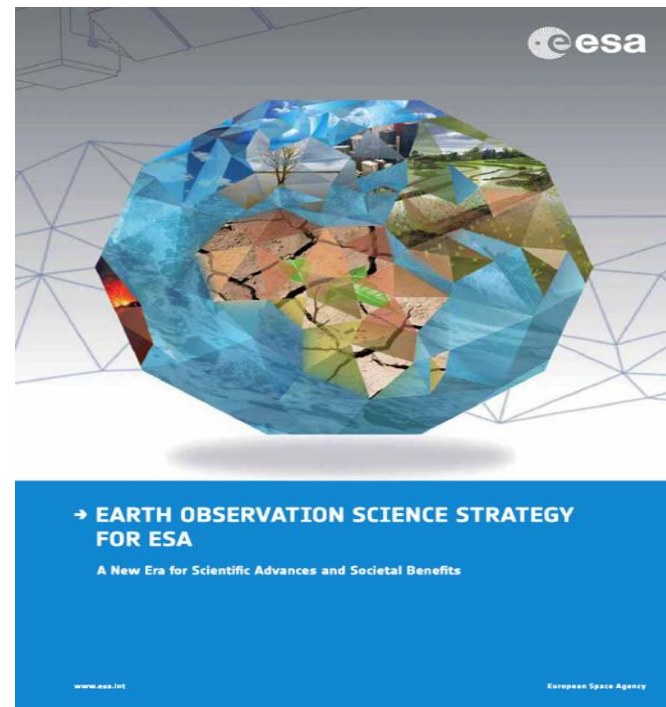
Testing technology/Observing techniques

Optimising requirements/design and reducing mission risk

L1-L2 Algorithm prototyping/Product simulation

Calibration/Validation

Campaign data archive supporting science and applications



Campaigns for different project phases

ESA campaigns are performed during full life cycle of a typical ESA space mission

Different types of campaigns are performed during specific phases of a space mission (concept, feasibility, development and operations)

	Pre-Phase A	Phase A Feasibility	Phase B Design	Phase C/D Development	Phase E1 Commissioning	Phase E2 Operation	Data Archive
Technology	X	X					X
Mission Development (Geophysical)	X	X	X	X			X
Mission Development (Simulation)	X	X	X	X			X
Cal/Val				X	X	X	X
Science/ Applications						X	X

Access to ESA Campaign Data



ESA campaign data available to interested PIs

Formatted and documented datasets

Data Inventory

Final report with full description of campaign activity and analyses

Final report accessible directly through web

Access to datasets provided through Category 1 mechanism (short proposal incl. identification of desired datasets)

Currently **64** campaign datasets available

<https://earth.esa.int/web/guest/campaigns>

Campaign (with link to final report PDF)	Year	Geographic site(s)	Field of application	Data availability	Data Size (in Gb)	Workshop Proceedings
SENZEXP	2013	Mulhouse (France)	Forested area in support to Sentinel-2 mission	on media	More than 50Gb	
DOMEcair (GOCE)	2013	DOME C, Antarctica	Airborne gravity data	on media	More than 50Gb	
DOMEcair (SMO S)	2013	DOME C, Antarctica	Airborne L-Band radiometer data	online	0.12	
RADARSAT-2 TOPS Image Data Acquisitions	2013	Richmond (Canada), Amazon (Brazil), Agulhas current (RSA), Gulf stream (USA), Lancaster Sound (Canadian Arctic, sea ice), Strait of Gibraltar (ship detector), CSA Transponder sites (Montreal and Ottawa, Canada), Markermeer, (the Netherlands), Elgin Oil Platform (UK)	C-band TOPS Single Complex Date (SLC) data in dual polarization similar to the Sentinel-1 IW mode provided in the official Sentinel-1 L1 product format for radar backscatterer and ocean current analysis, ship detection, oil platform monitoring, respectively.	online	18 (each scene)	
RADARSAT-2 TOPS SAR Interferometry (InSAR) Scene Pair Data Acquisitions	2013	5 InSAR scene pairs: Uyuni Salt Flats (Bolivia), Mexico-City (Mexico), Selassien Glacier (Greenland), Lambert Glacier (Antarctic), Mount Etna (Italy)	C-band TOPS Single Complex Date (SLC) data in dual polarization similar to the Sentinel-1 IW mode provided in the official Sentinel-1 L1 product format for SAR Interferometry (InSAR) analysis	online	18 (each scene)	
RADARSAT-2 TOPS InSAR Data Stack Acquisitions	2013	InSAR data stack: Mexico-City (Mexico)	C-band TOPS Single Complex Date (SLC) data in dual polarization similar to the Sentinel-1 IW mode provided in the official Sentinel-1 L1 product format including a stack of eleven (11) scenes for SAR Interferometry (InSAR) analysis	online	18 (each scene)	
			Atmospheric CO2 and CH4 data from strong local greenhouse gas sources	on media	More than 50Gb	



Recent developments



Key role of campaigns in preparation of future EO missions (up to Phase-0/-AB)

EE8 FLEX Mission Consolidation

New campaign initiatives supporting future mission concepts (e.g. SAOCOM-CS/Convoy or Satellite companion concepts)

Several cross-cutting activities addressing multiple missions (e.g. MULTIPLY for EarthCARE/ADM and other missions)

Use of campaign data in Thematic Exploitation Platforms or TEPs (e.g. BIOMASS)

International cooperation (Member states, EU, NASA)

Pooling of resources and enhanced technical/science return

FLEX Takes on Mutants ESA Portal Webstory Aug 25 2016



FLEX TAKES ON MUTANTS

25 August 2016 Because a plant isn't green doesn't mean it can't photosynthesise as well as its more usual counterpart, but when measured by satellites, these non-green varieties skew results on plant health. FLEX is different. Experiments using 'mutants' show that colour won't be an obstacle in this new mission's task of mapping plant health from space.

Planned to be launch around 2022, ESA's Fluorescence Explorer – FLEX – will use a novel technique to track the health of the world's vegetation.

This technique involves detecting and measuring the faint glow that plants give off as they use sunlight to convert carbon dioxide into energy-rich carbohydrates – photosynthesis.

FLEX will improve our understanding of the way carbon moves between plants and the atmosphere and how photosynthesis affects the carbon and water cycles.

Moreover, accurate information about the health and stress of the planet's vegetation is important as the growing global population places increasing demands on the production of food and animal feed.

As part of the development of this new satellite mission, scientists in Italy and Germany have been studying different crops to understand the relationship between the light reflected by different plants and their carbon uptake.

The latest field campaigns focus on the natural mutant soybean *MinGold*, which only has 20% of the chlorophyll of 'normal' green plants.

Such chlorophyll deficiency changes the properties of the leaves, which are a yellow colour. As such, these mutant soybean leaves reflect much more sunlight than their green cousins, leaving the plant with less energy to photosynthesise.

Although they have less energy, these mutants are surprisingly more efficient at fixing carbon dioxide from the air.

Traditional satellite techniques rely on measuring aspects of reflected light to estimate plant productivity and cannot account for unusual coloured plants.

Radoslaw Jutczak from the Poznań University of Life Sciences in Poland explained, "Chlorophyll-deficient plants have similar photosynthetic rates as their green counterparts.

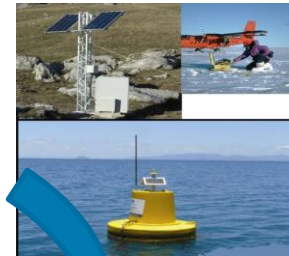


Remotely Piloted Aircraft Systems

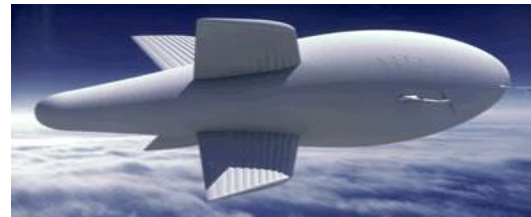
- Remotely Piloted Aircraft Systems (RPAS) can bridge the gap between satellite Earth observation and ground measurements
- In particular, RPAS enabling persistent (> 4 weeks), high resolution, local-to-regional scale observations would fill a critical niche within Earth Observation data:



Satellites: Global perspective on changes in the Earth-ocean-atmosphere system

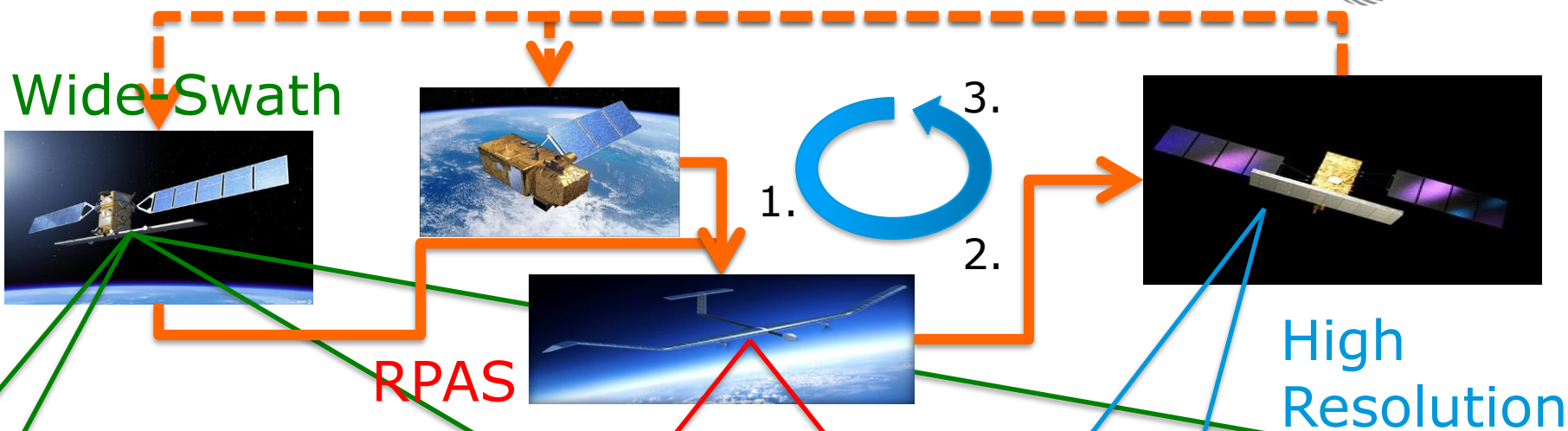


In-situ sensors: local measurements at fine spatio-temporal scales

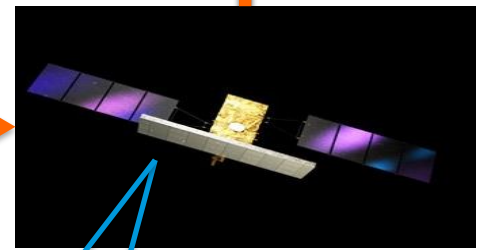
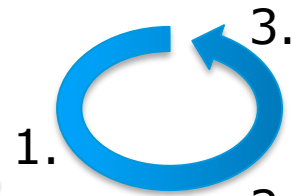


Critical link between small scale and regional/global long-term processes
→ **Stratospheric platforms (h > 18000 m, endurance > 4 weeks)**

Cross-Tasking



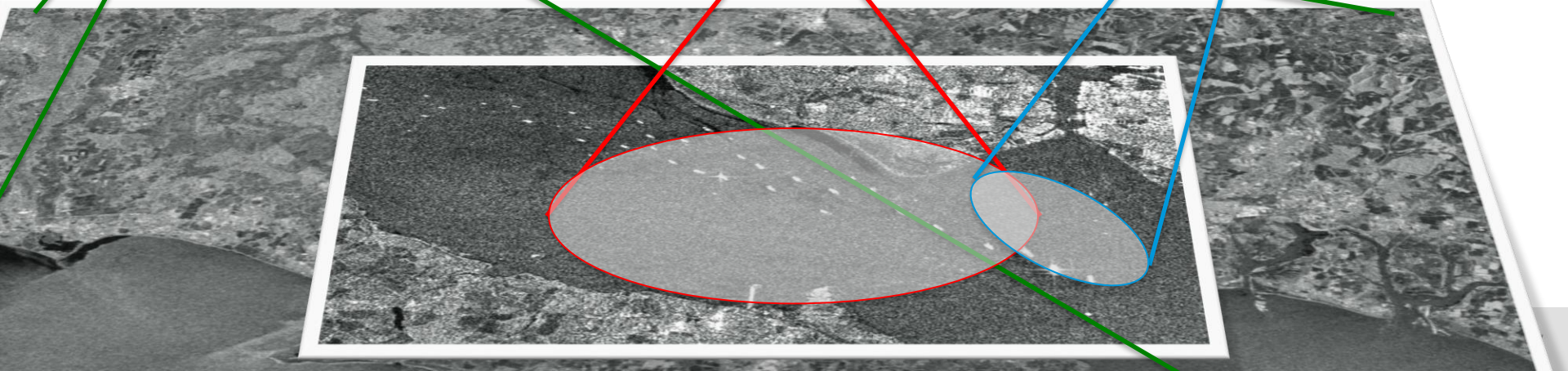
Wide-Swath



RPAS



High Resolution



ESA position vis-à-vis European Industry and potential users for HAP RPAS



- Industry involved in study and development of HAP RPAS is the same involved in space programme
- There is a great deal of technology overlap that provides an opportunity for rationalisation at the benefit of competitiveness
- ESA can augment the application potential and make it sustainable through complementarity with space systems
- Existing users of ESA assets (e.g. scientists, Agencies and Public Bodies) would be exposed to new services, so reinforcing the mutual bonds
- ESA is the only R&D body in Europe that can enable the economic potential stemming from HAP through technological advances



Phase A Feasibility Campaigns



- 2012: *HyPlant* becomes operational Campaign in Finland and Germany
⇒ First airborne maps of F_{760}
- 2013: *HyPlant* technically refined Campaign in Germany, France, Czech Republic and USA
⇒ Two peaks of fluorescence are retrieved
- 2014: *HyPlant* technically refined Campaign in Germany, Czech Republic and Italy
⇒ Time series of dynamic stress detection in vegetation
⇒ Improvement of GPP estimates
- 2015: *HyPlant* optical path fundamentally improved and great improvement of the Point Spread Function; campaign in Germany, Czech Republic and Italy
⇒ Virtual cloud experiment
- 2016: *HyPlant* flown over Germany, CZ & Italy
⇒ Field Laboratory: 'FLEX takes on mutants



Phase C/D FLEX Activities



- **SS10 (start 2016 / 24 months):**

Fluorescence Network Data Base / Validation Anchor Sites - Initial Phase

- **SS11 (start 2018 / 48 months):**

Fluorescence Network Data Base / Validation Anchor Sites – Maintenance and Expansion Phase

- **C1 (2017 / 72 months): ContiFLEX**

Ensure continuation of long time series for retrieval verification, investigate SNR / FR performance relationship experimentally, support selection and location of ground based in-situ measurements at the suggested Anchor sites.

- **C2 (2017 / 12 months): AtmoFLEX**

Support L1 to L2 algorithm development, verification of final algorithm, support atmospheric parameter retrieval.

- **C3 (2018 / 18 months): TransFLEX**

Verify the fluorescence retrieval over heterogeneous targets and a wide range of biomes.

- **C4 (2019 / 12 months): PhytoFLEX**

Understanding of the added value of FLEX over coastal areas (oceans).

- **C5 (2021 / 6 months): Commissioning Rehearsal**



Phase C/D FLEX Activities



1. Step: Atmospheric correction

Make use of existing infrastructure related to S2 and S3

enable ground-based longterm measurements by means of FloX Boxes

perform dedicated airborne campaigns coordinated with S2/S3

evaluate the option of deploying a high altitude platform for FL measurements

=> Link to ContiFLEX

2. Step: ContiFLEX

Perform airborne campaigns in the coming years

2017 to cover test-sites in Germany, Belgium?, Italy by also underflying S2/S3

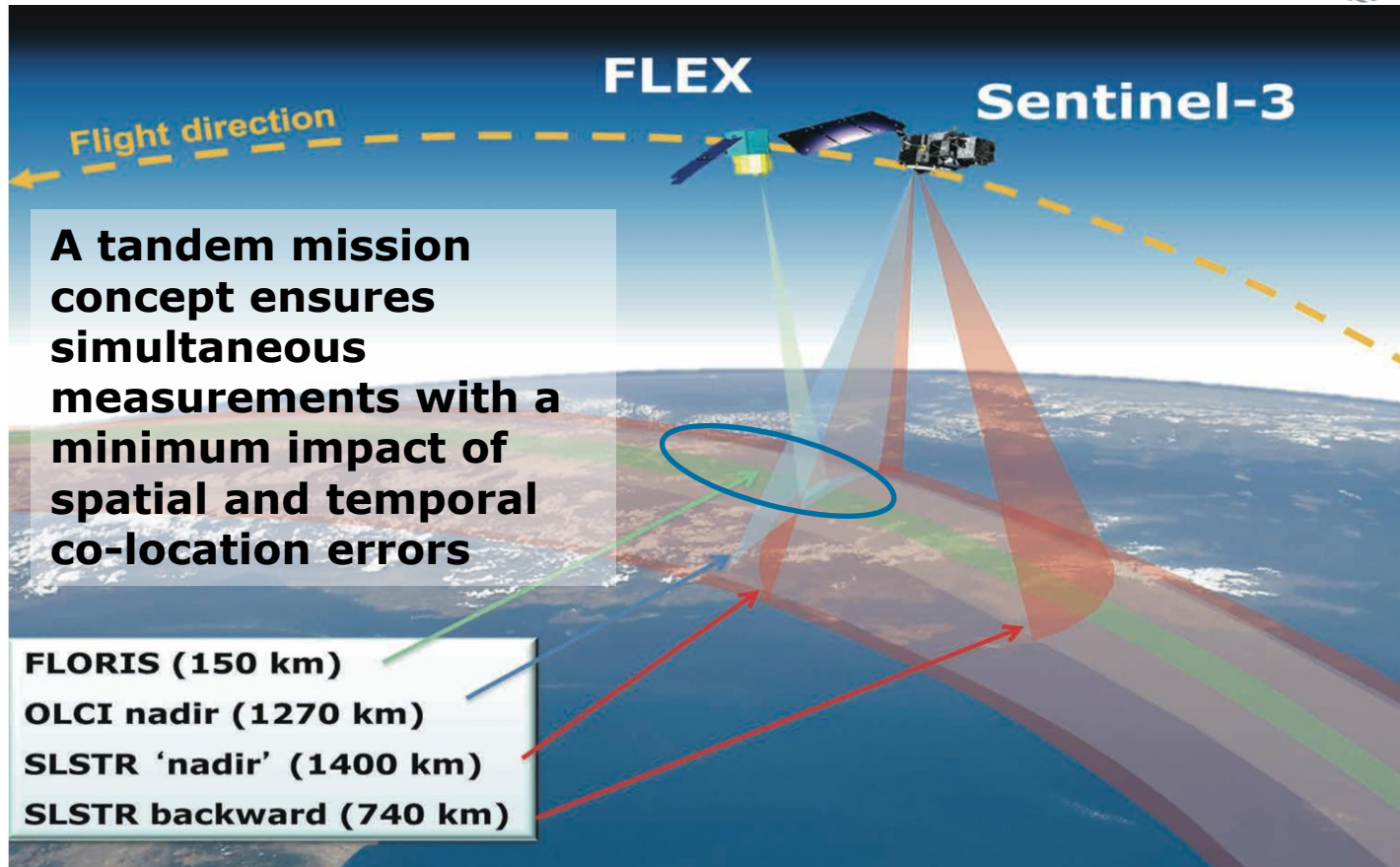
=> Link to AtmoFLEX & Networks

3. Step: TRANSFLEX heterogeneous targets and a wider range of biomes.

Potential activity together with NASA



FLEX/Sentinel-3 Formation Flying



Introduction



- ✓ *"Validation is the process of assessing, by independent means, the quality of the data products derived from a system outputs."*



- ✓ Missions:



- ✓ Provide an overview of the approach foreseen by ESA for the validation of land operational products.



Land Operational Products

Acronym	Product	Brief Description
SR	Surface Reflectance	Bottom-of-atmosphere and topography corrected reflectance
OGVI	OLCI Global Vegetation Index	Green Fraction of Absorbed Photosynthetically Active Radiation (FAPAR)
OTCI	OLCI Terrestrial Chlorophyll Index	Index related to related to the total chlorophyll content.
LST	Land Surface Temperature	Radiative skin temperature (°K) of the ground.



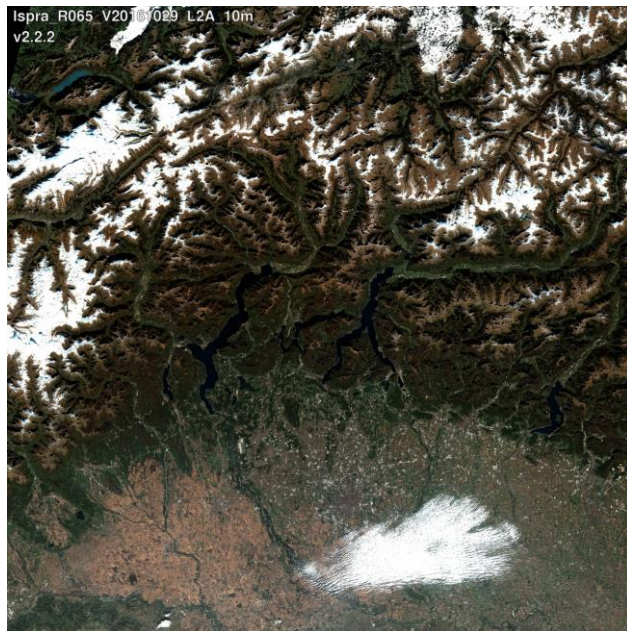
✓ Many more coming soon...



- ✓ SR (Surface Reflectance)

Algorithm description at:

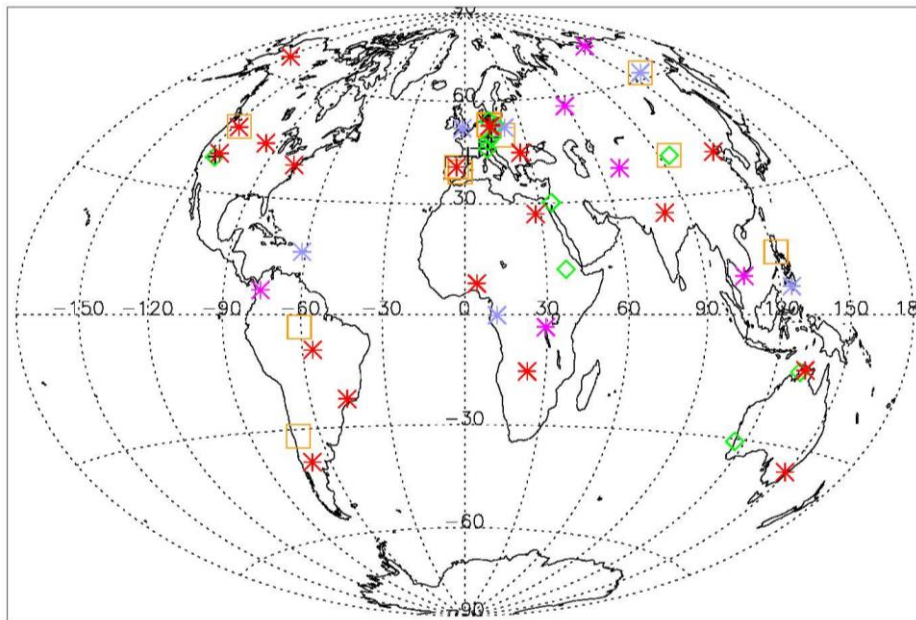
<https://sentinels.copernicus.eu/web/sentinel/technical-guides/sentinel-2-msi/level-2a/algorithm>



Sentinel-2



✓ SR (Surface Reflectance)



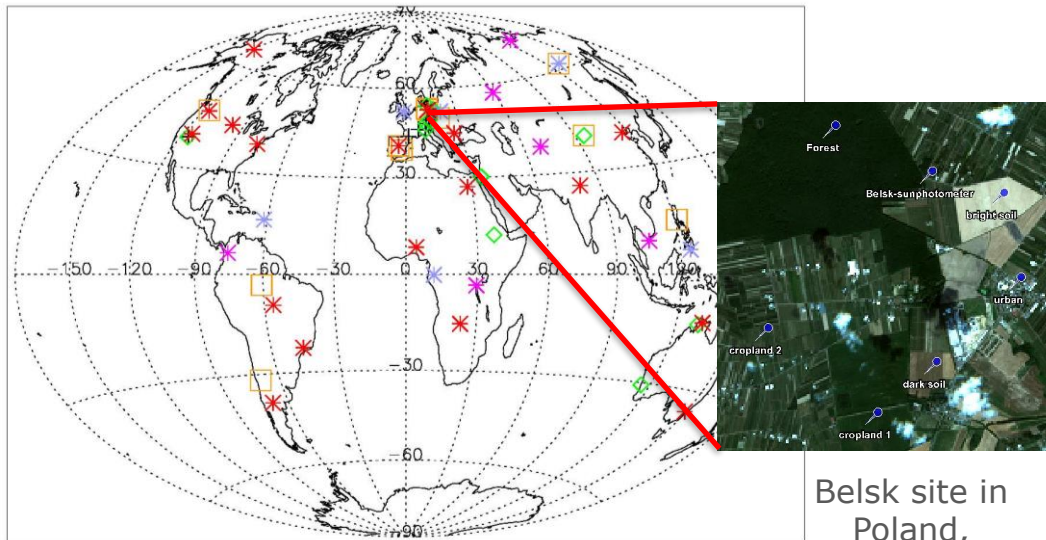
Asterisks:
Black plus signs:
Green diamonds:
Orange squares:

Sunphotometer test sites [9x9 km²] for validation of AOT, WV and SR products
Test sites for ad-hoc campaigns in 2015 with surface reflectance measurements
Test sites for ad-hoc campaigns in 2016 with surface reflectance measurements
Test sites [100x100 km²] for Cloud Screening and Scene Classification Validation

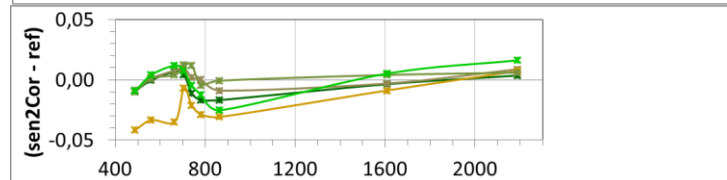
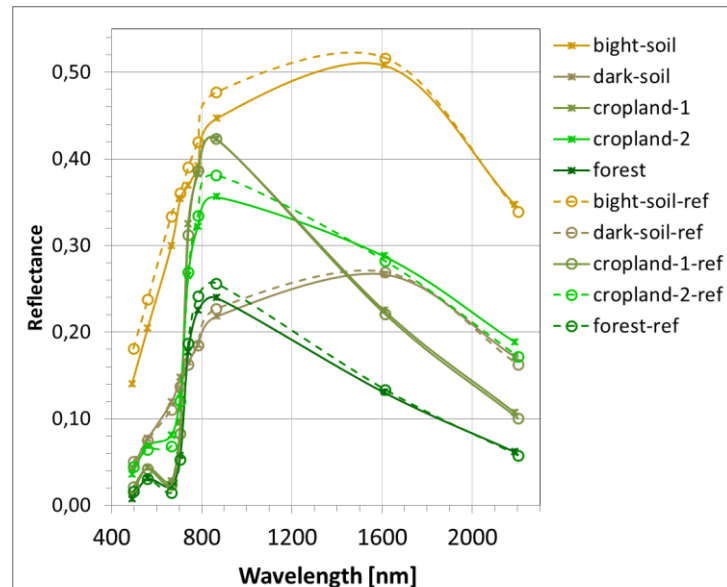


Sentinel-2

✓ SR (Surface Reflectance)



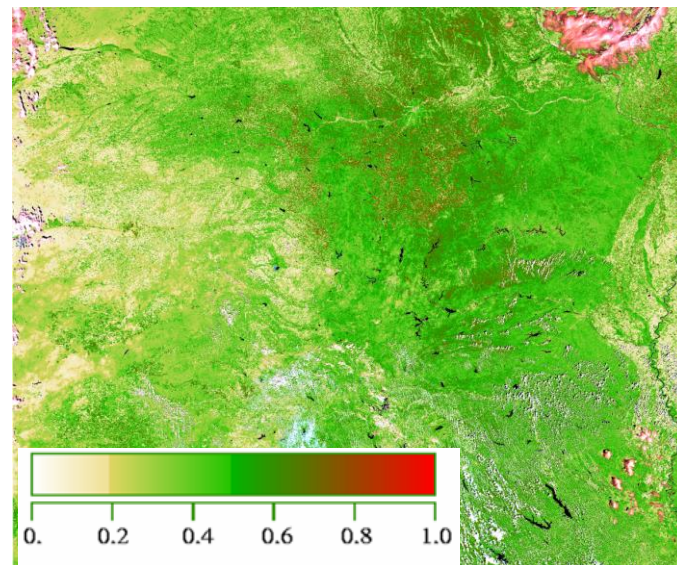
Belsk site in Poland, August 14, 2015



✓ OGVI (OLCI Global Vegetation Index)

Use information in blue, red and near-infrared OLCI bands for deriving the Fraction of Absorbed Photosynthetic Active Radiation (Gobron et al., 1999, Gobron, 2012).

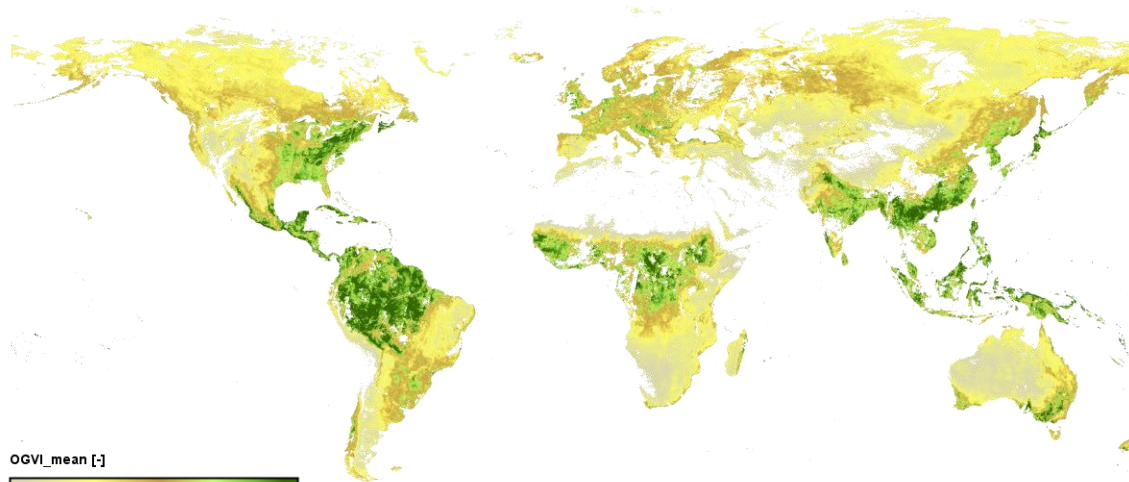
$$g_n[\tilde{\rho}(\lambda_i), \tilde{\rho}(\lambda_j)] = P(\lambda_i, \lambda_j) / Q(\lambda_i, \lambda_j)$$
$$P(\lambda_i, \lambda_j) = l_{n1}(\tilde{\rho}(\lambda_i) + l_{n2})^2 + l_{n3}(\tilde{\rho}(\lambda_j) + l_{n4})^2 + l_{n5} \tilde{\rho}(\lambda_i) \tilde{\rho}(\lambda_j)$$
$$Q(\lambda_i, \lambda_j) = l_{n6}(\tilde{\rho}(\lambda_i) + l_{n7})^2 + l_{n8}(\tilde{\rho}(\lambda_j) + l_{n9})^2 + l_{n10} \tilde{\rho}(\lambda_i) \tilde{\rho}(\lambda_j) + l_{n11}$$
$$\text{FAPAR} = g_0(\rho_{Rred}, \rho_{Rnir})$$
$$= \frac{l_{01}\rho_{Rnir} - l_{02}\rho_{Rred} - l_{03}}{(l_{04} - \rho_{Rred})^2 + (l_{05} - \rho_{Rnir})^2 + l_{06}}$$



Sentinel-3



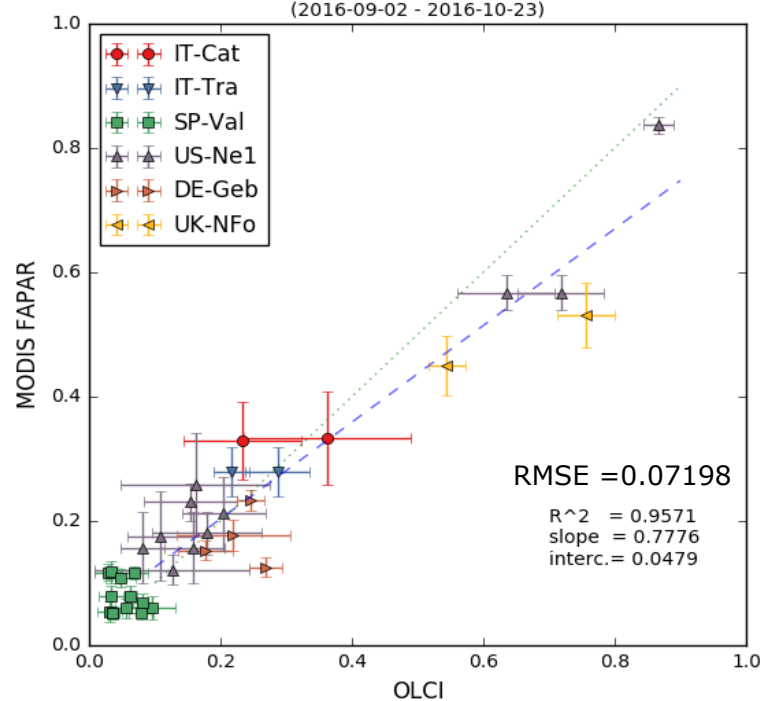
✓ OGVI (OLCI Global Vegetation Index)



OGVI mean, 4 days 20-21-22-23 September 2016

Scatter plot: OLCI(NR) vs MODIS

(2016-09-02 - 2016-10-23)



Sentinel-3

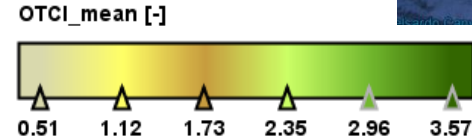
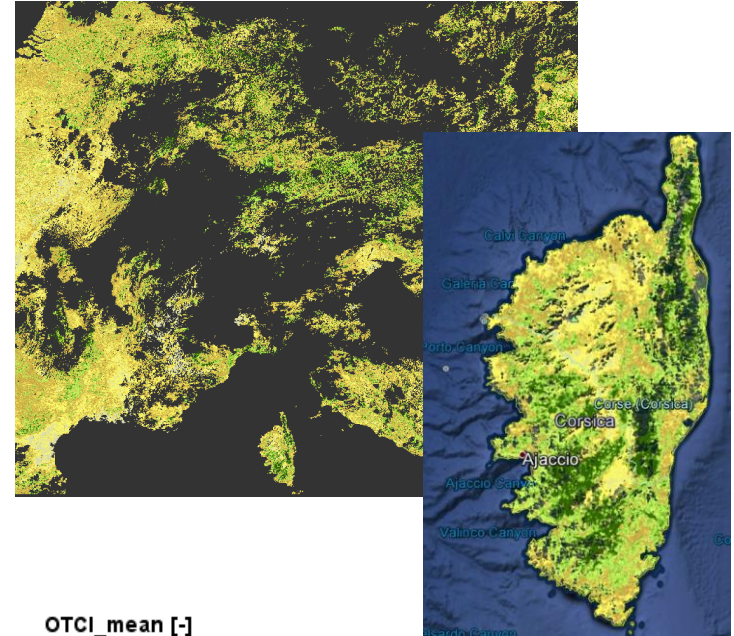


✓ OTCI (OLCI Terrestrial Chlorophyll Index)

Use of the high spectral resolution of OLCI to track the position of the Red Edge (Dash and Curran, 2004 Dash 2012).

$$OTCI = \frac{R_{Band10} - R_{Band9}}{R_{Band9} - R_{Band8}}$$

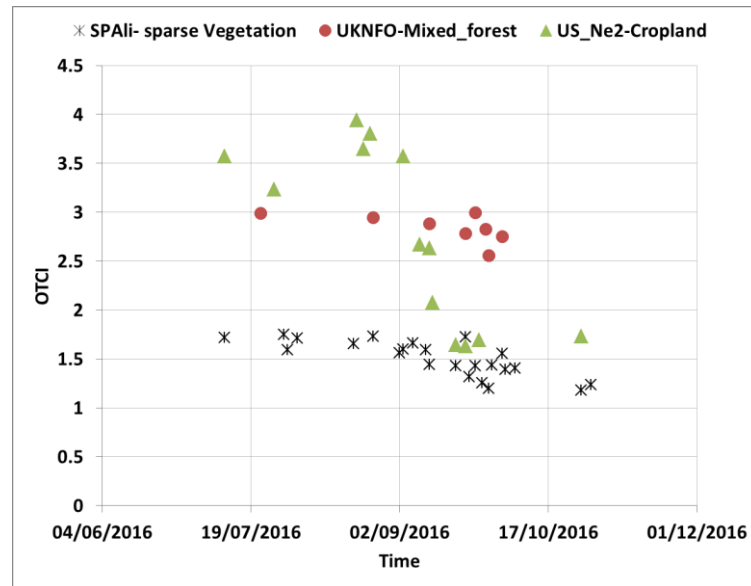
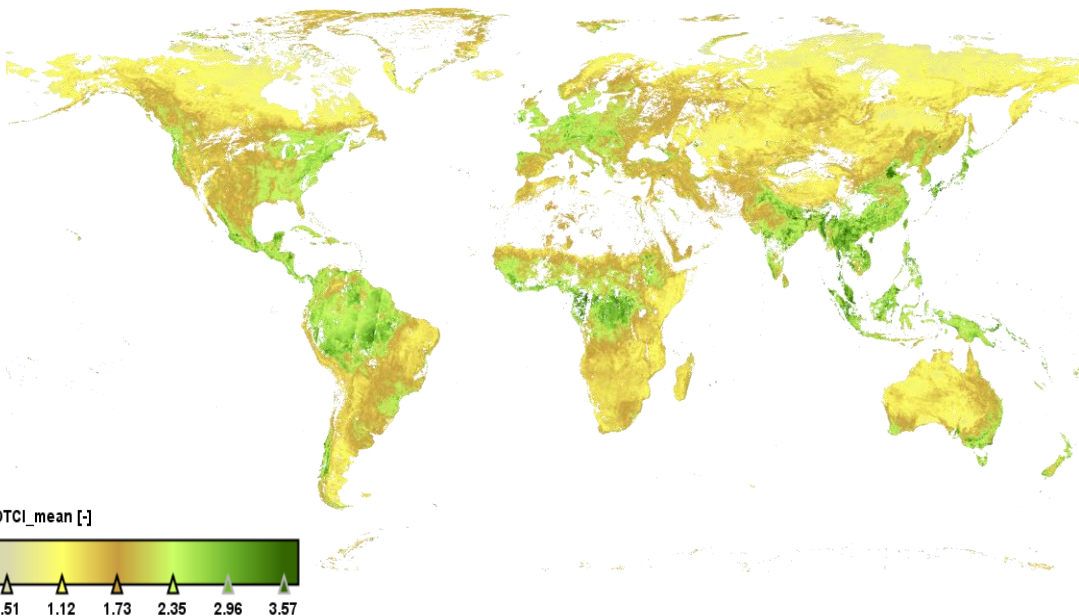
The magnitude of the OTCI is related to the total chlorophyll content.



Sentinel-3



✓ OTCI (OLCI Terrestrial Chlorophyll Index)

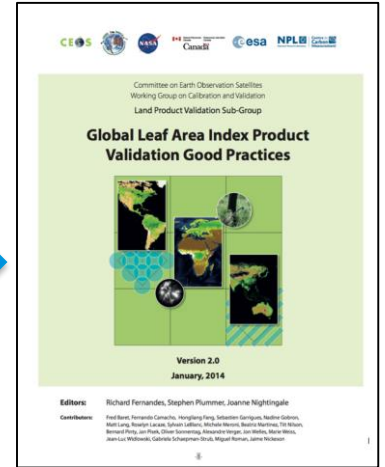


OCTI Global Mean, 4 days 20-21-22-23 September 2016



Challenges

- Lack of multi-mission & long-term strategy for validation.
- Lack of networks for validation of land products, to give continuity to the validation activities.
- Lack of international standards (with some exceptions: CEOS LPV “Global Leaf Area Index Product Validation Good Practices”).
- Product uncertainties not always assessed through a statistically representative set of locations and time periods.
- Spatial representiveness of the in situ measurements, and upscaling to satellite resolution.
- Need to automate individual measurements (drones?).



ESA Validation Approach



1. **Multi-mission** approach for the validation of all land products:

- ✓ Sentinel-2 Surface Reflectance
- ✓ Sentinel-3 OGVI
- ✓ Sentinel-3 OTCI
- ✓ Sentinel-3 Land Surface Temperature
- ✓ FLEX products (>2022)

2. **Combine validation approaches**, with particular emphasis on Fiducial Reference Measurements (FRM).

3. **Network of sites** for ensuring a comprehensive validation (sites selected in coordination with existing international networks).



Validation approaches

✓ Statistical Analysis

- Generation of level-3 products for trend analysis as well as sensor intercomparison over spatial and temporal domains.

✓ Match-up Comparisons

Comparison of match-ups from different product sources or missions.

✓ Fiducial Reference Measurements (FRM)

- Next slide...

Fiducial Reference Measurements (FRM)

- ✓ **fi-du-cial** (*adj*) *Regarded or employed as a standard of reference, as in surveying*

[Late Latin *fiducialis*, equivalent to *fidi(a)* trust, from *fidere*, to trust.]

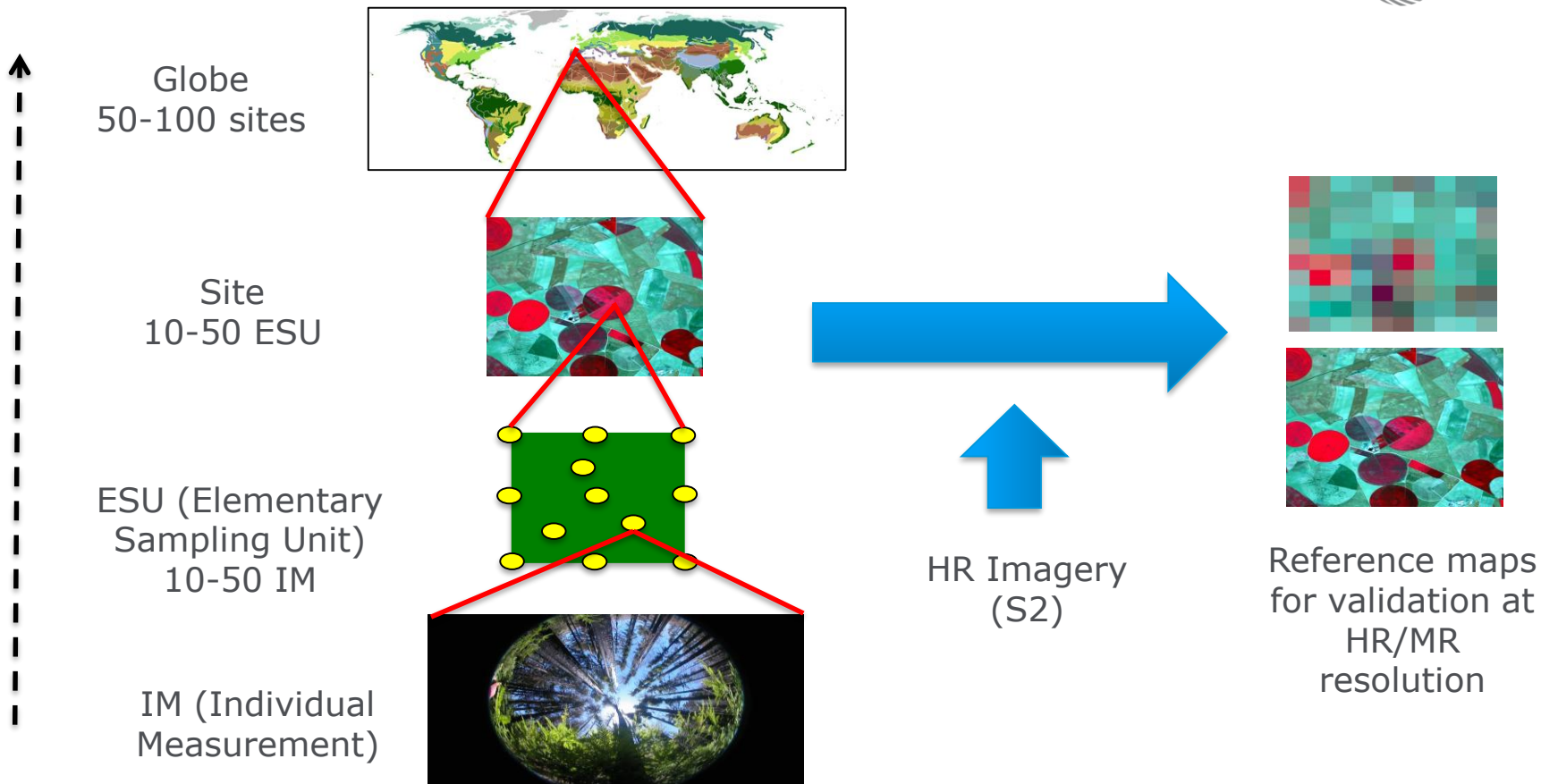
- ✓ Fiducial Reference Measurements:

- Validation of Mission Requirements
- Linked to a mission's Cal/Val plan activities
- Building on the existing capabilities
- Not necessarily mission specific and can address multi-mission needs

- ✓ FRM4VEG project planned for Cab, FAPAR and LAI validation (Kick-off Q2 2017).



Land Products Validation Concept



- ✓ Ground spectrometers for reflectance and fluorescence
- ✓ Instruments for atmospheric characterization
- ✓ Spectrometers on drones
- ✓ Airborne sensors
- ✓ Additional measurements (e.g. ecological)

Both satellite products and ground measurements have associated uncertainties from contributors such as:

- *Instrument accuracy caused by random and systematic noises.*
- *Natural variability of target optical properties at different spatial scales, modulated by sampling strategy.*
- *Environmental variations in illumination during ground truth measurements.*
- *Error propagation in retrieval algorithms or radiative transfer modeling (indirect estimation).*

Sites Evaluation

Site requirement	Indicator	Evaluation			Relative Importance
		Acceptable	Medium	Unacceptable	Very important Fairly important Slightly or not at all important
Science question	ESA SP-1329/2, 2015				VI
Size&homogeneity	# of endmembers and size				VI
Land cover	Representativeness				VI
Topography	Slope				FI
Sun angles	Cos θ				SI
Site position	Nadir				SI
Meteorology	Cloud cover				FI
Flight	High risk/medium/low				FI
Facilities, logistic	Yes/No				VI
Maintenance	Euro				FI
Fs Heritage	Yes/No				FI
Pixel story	SNR NDVI/Ts				FI
Membership to other EO cal/val	Yes/No				FI
Nationality	Yes/No				SI
					FINAL SITE SCORE (E·R):

International Networks Considered



✓ **ICOS**: Standardized network of eddy towers across Europe.



✓ **FLUXNET**: Hyperspectral sensors across the globe



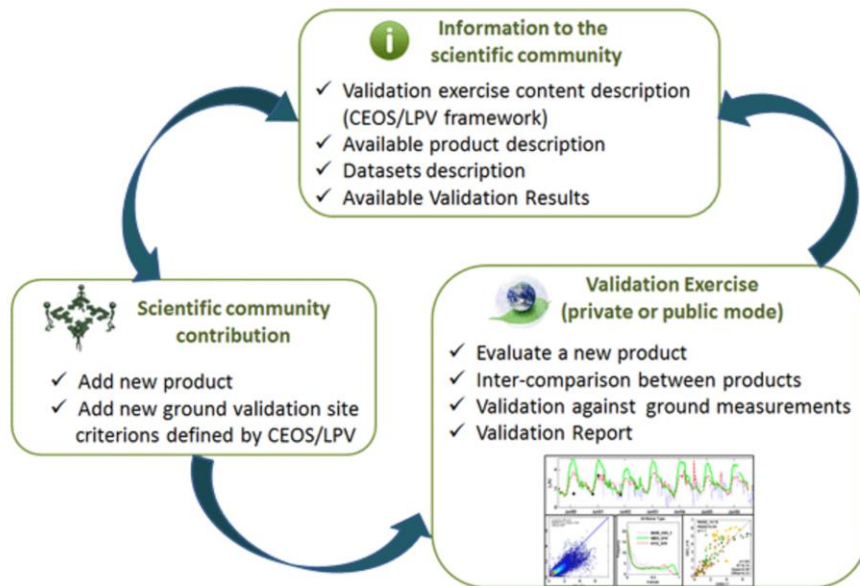
✓ **COST action OPTIMIZE**: Network to standardize methods for vegetation reflectance and fluorescence.



✓ **EMPHASIS**: European network to link plant phenotyping infrastructure.



- ✓ OLIVE is a platform designed to quantify the performances of land products.
- ✓ Supported by CEOS LPV (Land Products Validation) sub-group.



Some general summary comments



- ESA campaign activities responding directly needs of the EO programmes in efficient and effective way and play a key role in
 - preparing future EO missions
 - supporting mission development
 - Cal/val for missions in orbit
 - supporting wider science community through the ESA campaign database on the EOPI portal
- Expanding industrial interest in airborne sensors and activities in the context of UAVs/Drones and medium and high altitude platforms
- Expanding international collaboration (NASA, EC e.g. EUFAR, National Agencies) leading to pooling of resources and enhanced science and mission related return (e.g. enabling campaign activities not possible in isolation)
- No dedicated airborne programme at ESA at present (i.e. no regular calls for industry or similar). Requirements and implementation solutions usually through advisory mechanisms, PIs and knowledge of opportunities.



FLEX summary comments



- Large amount of data available from campaigns starting in 2012
- Latest data might be used in the context of your activities
- Upcoming activities focus on atmospheric correction and extending time series
- Focus on a mix of ground-based and airborne activities
- Planning for related activities just started

- We need your input!!!



Summary Validation S2/S3 + FLEX

1. **Multi-mission** approach for the validation of all land products:



2. **Combine different validation approaches** with particular emphasis on Fiducial Reference Measurements (FRM).
3. **Network of sites** for ensuring a comprehensive and long-term validation.



Many thanks!

