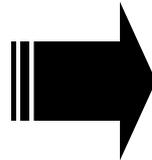
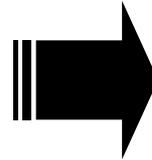




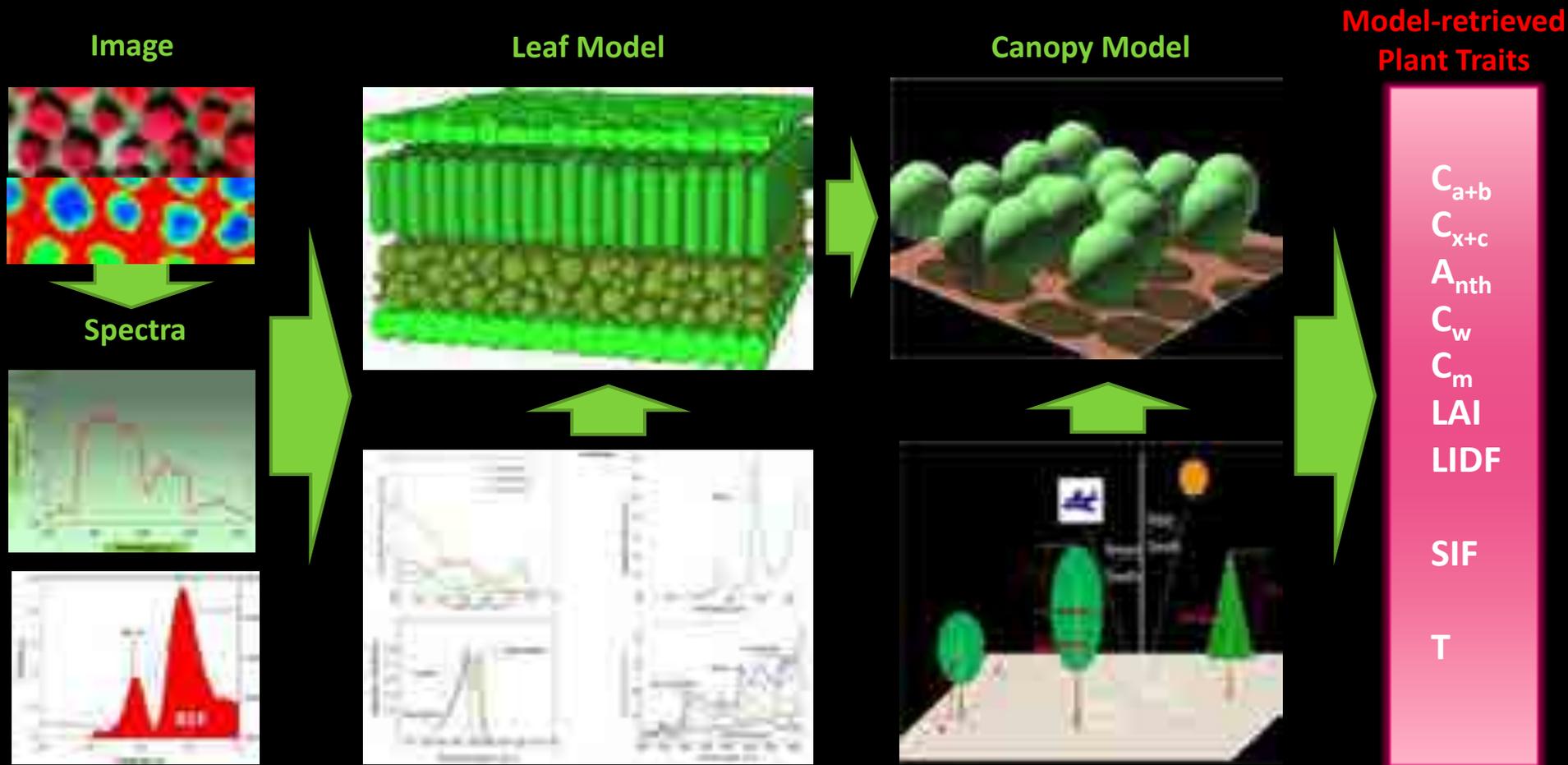
# **Plant physiological traits from high resolution hyperspectral and thermal imagery: models and indices for early stress detection**

**Pablo J. Zarco-Tejada**

**European Commission  
Joint Research Centre (JRC)  
Directorate D – Sustainable Resources**



# Plant-trait retrievals for stress detection





# Challenges ?



“these guys are playing  
with toys...”

What do you do ?

“I work in remote sensing using unmanned vehicles”



# Challenges ?

1. Conceptual
2. Technical
3. Self-imposed requirements



# Challenges ?

1. Conceptual

**2. Technical**

3. Self-imposed requirements

# CASI Hyperspectral Imager

Year 2000

Computer for imagery acquisition

Storage device

Inertial navigation system

Hyperspectral imager



Year 2011

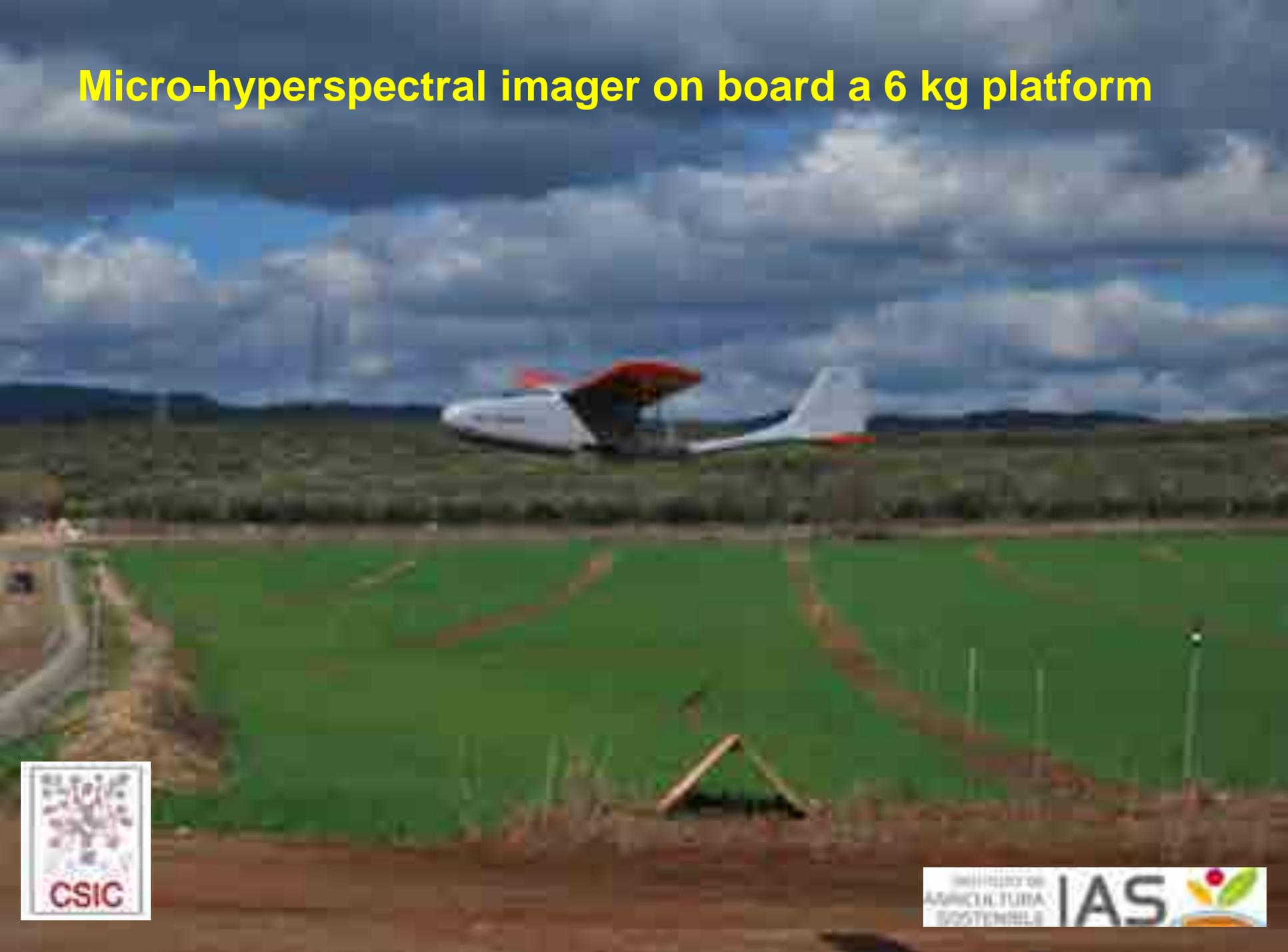








# Micro-hyperspectral imager on board a 6 kg platform



Year 2015









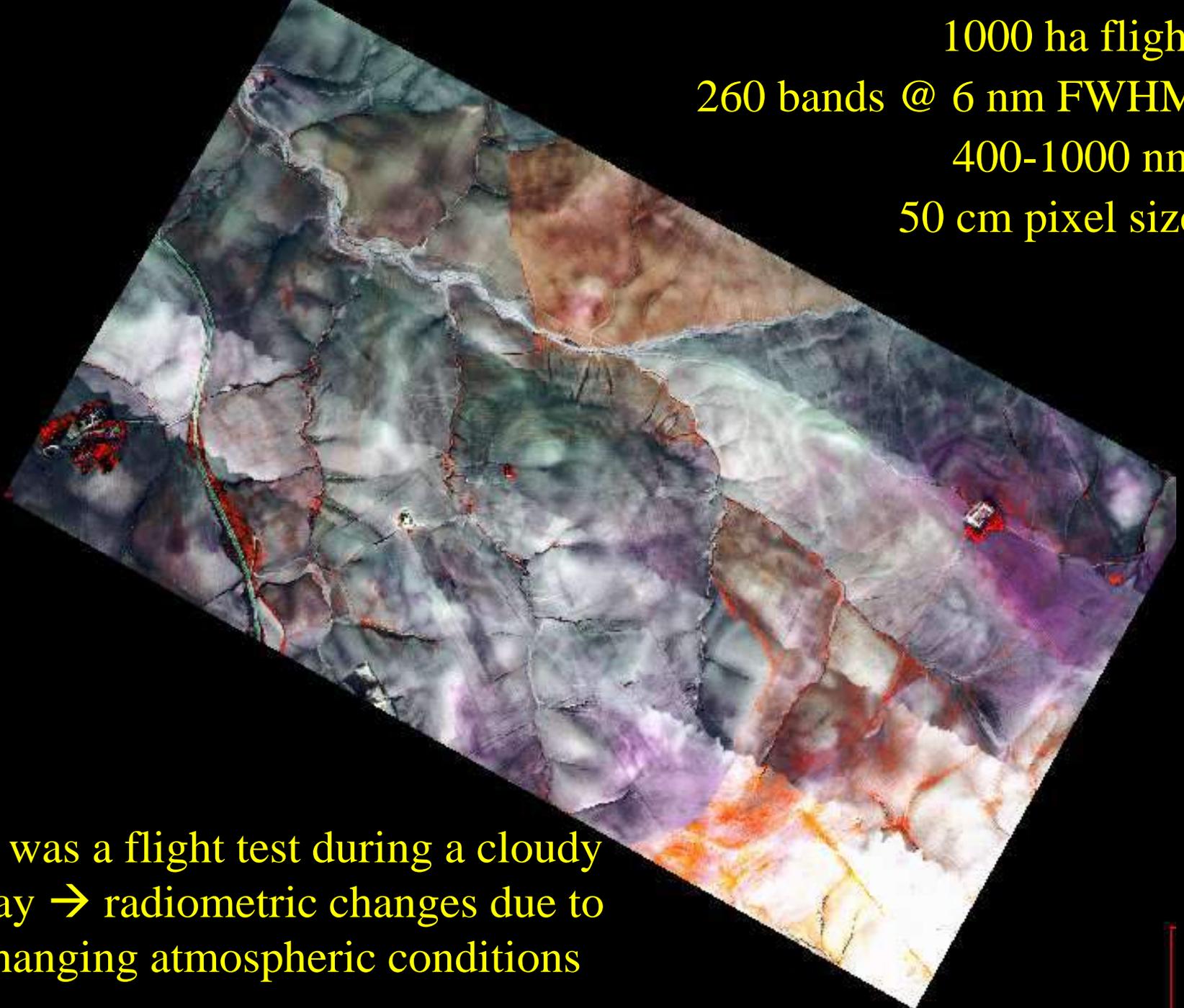


1000 ha flight

260 bands @ 6 nm FWHM

400-1000 nm

50 cm pixel size



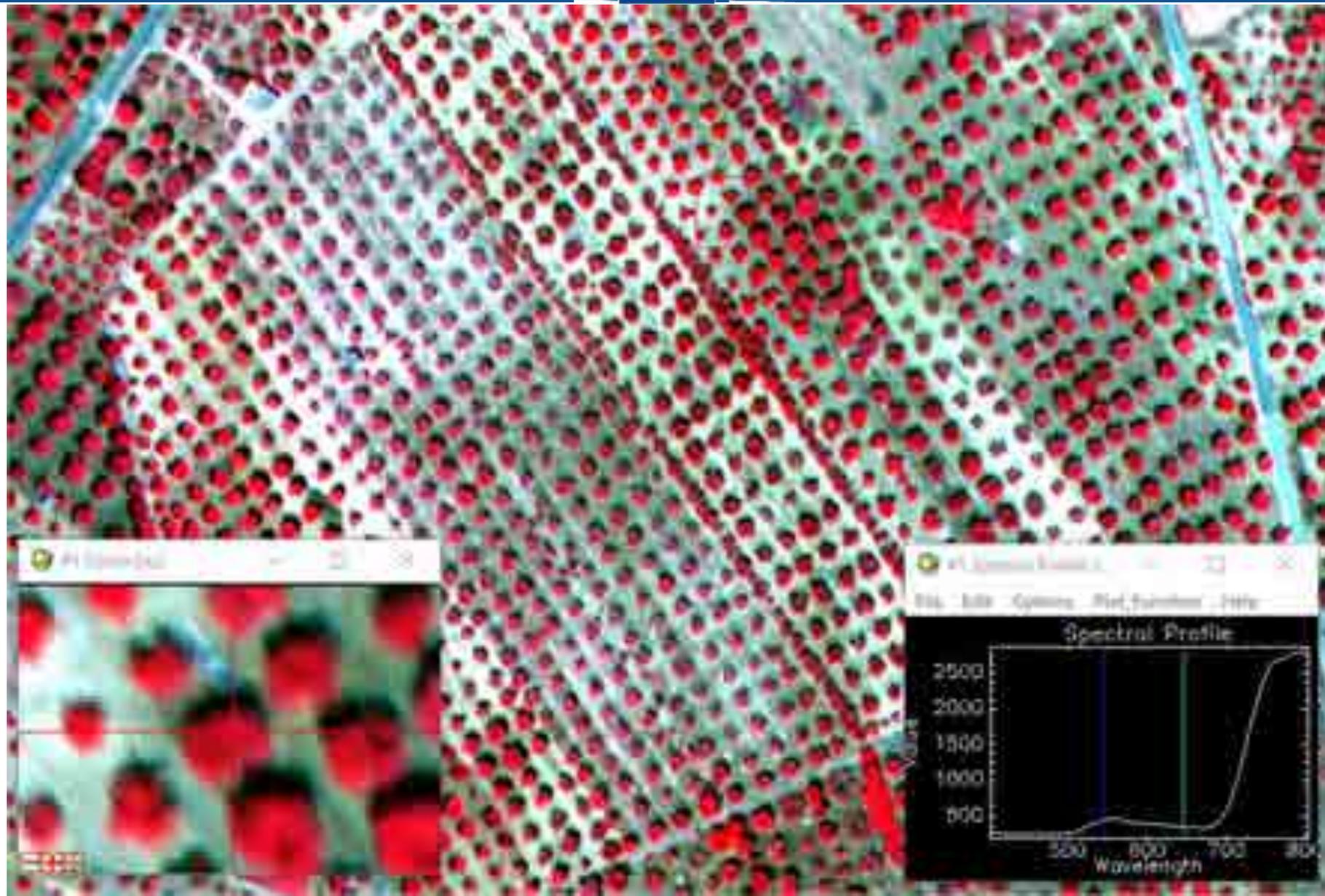
It was a flight test during a cloudy day → radiometric changes due to changing atmospheric conditions

# Radiometric calibration





# Hyperspectral 45 cm



# Challenges ?

1. Conceptual

2. Technical

**3. Self-imposed requirements**

## Some dreams ... as of 2005

---

- **VHR** in thermal + multi(hyper)spectral (sub-meter) to identify pure crowns / avoid mixed pixels
- Canopy temperature maps with **errors below 1 K** (absolute, not only relative)
- Processing capabilities for **1-day turn-around** times → decision making
- Imagery & products through **simpler tools** for GIS-unexperienced end-users / technicians
- Acceptable **cost**



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# Cameras for stress detection

## → RGB / CIR cameras

→ pNDVI & DSM generation

## → Thermal Cameras

→ Water stress detection / irrigation

## → Multispectral cameras

→ Nutrient stress detection ( $C_{ab}$ ,  $C_{x+c}$ )

→ Physiological indices (PRI, F)

→ Canopy structure (NDVI, EVI)

## → Hyperspectral imagers

→ New indices / methods

→ Combined spectral indices



# Remote Sensing Indicators of Vegetation Stress

## Visual

### ➤ Pigments-traits $C_{ab} / C_{ar}$

- Nutrient deficiencies / effects of diseases → less absorption at specific bands → captured by RT model inversion methods & sensitive indices

### ➤ Structural traits → canopy structure / LADF / vegetative growth

- Nutrient / water stress & effects of diseases → affects canopy growth → effects in the near infrared → captured by indices sensitive to canopy structure

## Pre-visual

### ➤ Xanthophyll cycle pigments (V+A+Z) & $A_{nth}$ → rapid changes phot.

Efficiency & photoprotective roles → PRI: Indicator of the epoxidation state (EPS) of the xanthophyll pigments → under stress  $V+A+Z \uparrow \rightarrow R530 \downarrow \rightarrow PRI \uparrow$

### ➤ Chlorophyll Fluorescence (CF) → F emission → Photosynthesis

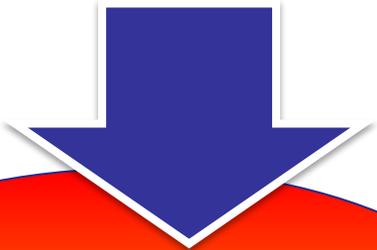
- Excess energy → function of the photosynthetic state
- 3% - 4 % of the radiance levels
- Main interest to monitor remotely photosynthesis & stress condition

### ➤ Temperature: $T_c \rightarrow T_c - T_a \rightarrow CWSI$

- Stomata closure → Reduction in transpiration and  $CO_2$  uptake → Decreased photosynthesis → *Temperature increase*



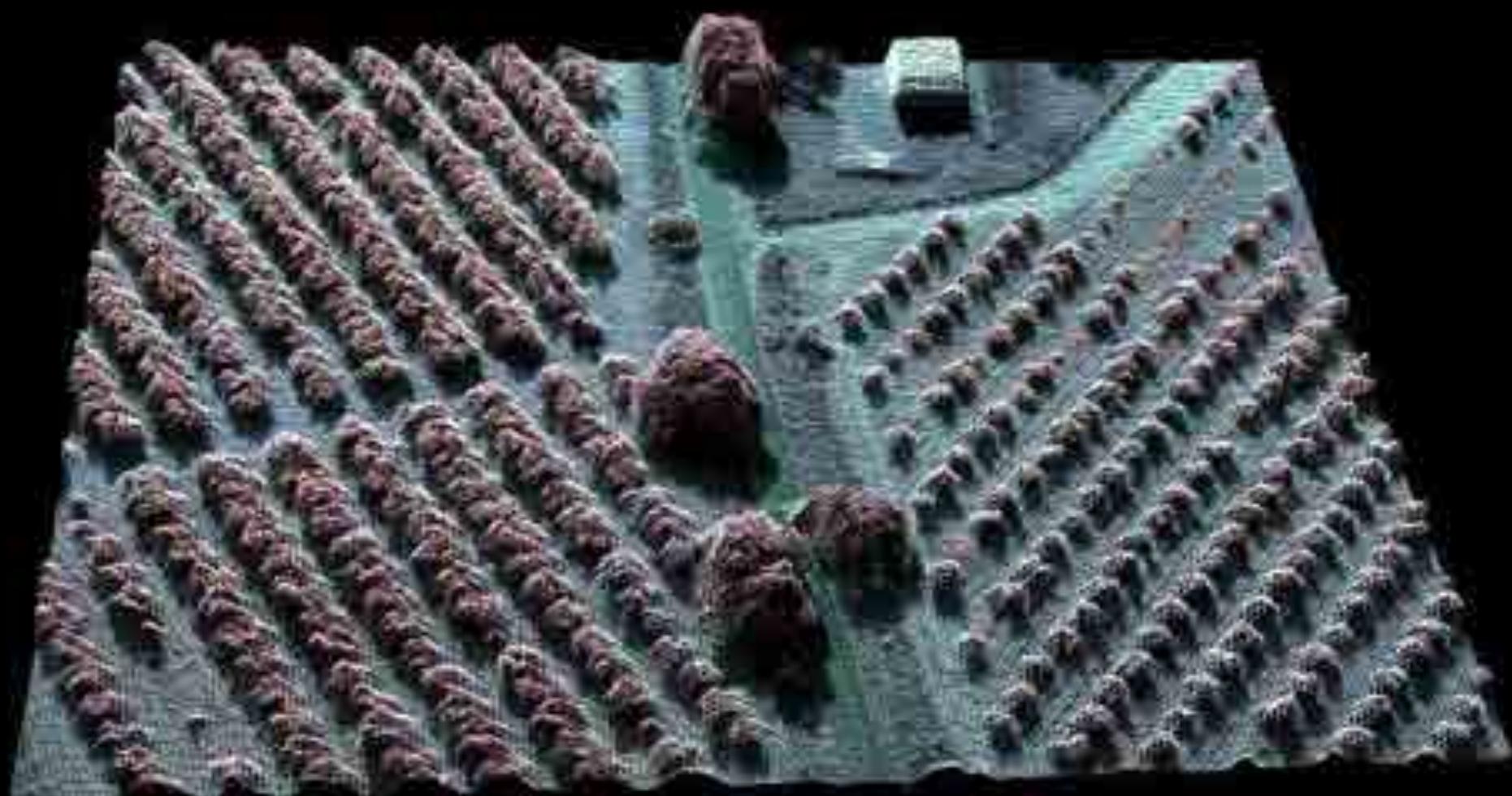
**OPERATIONAL  
?**



**USEFUL FOR RS  
?**



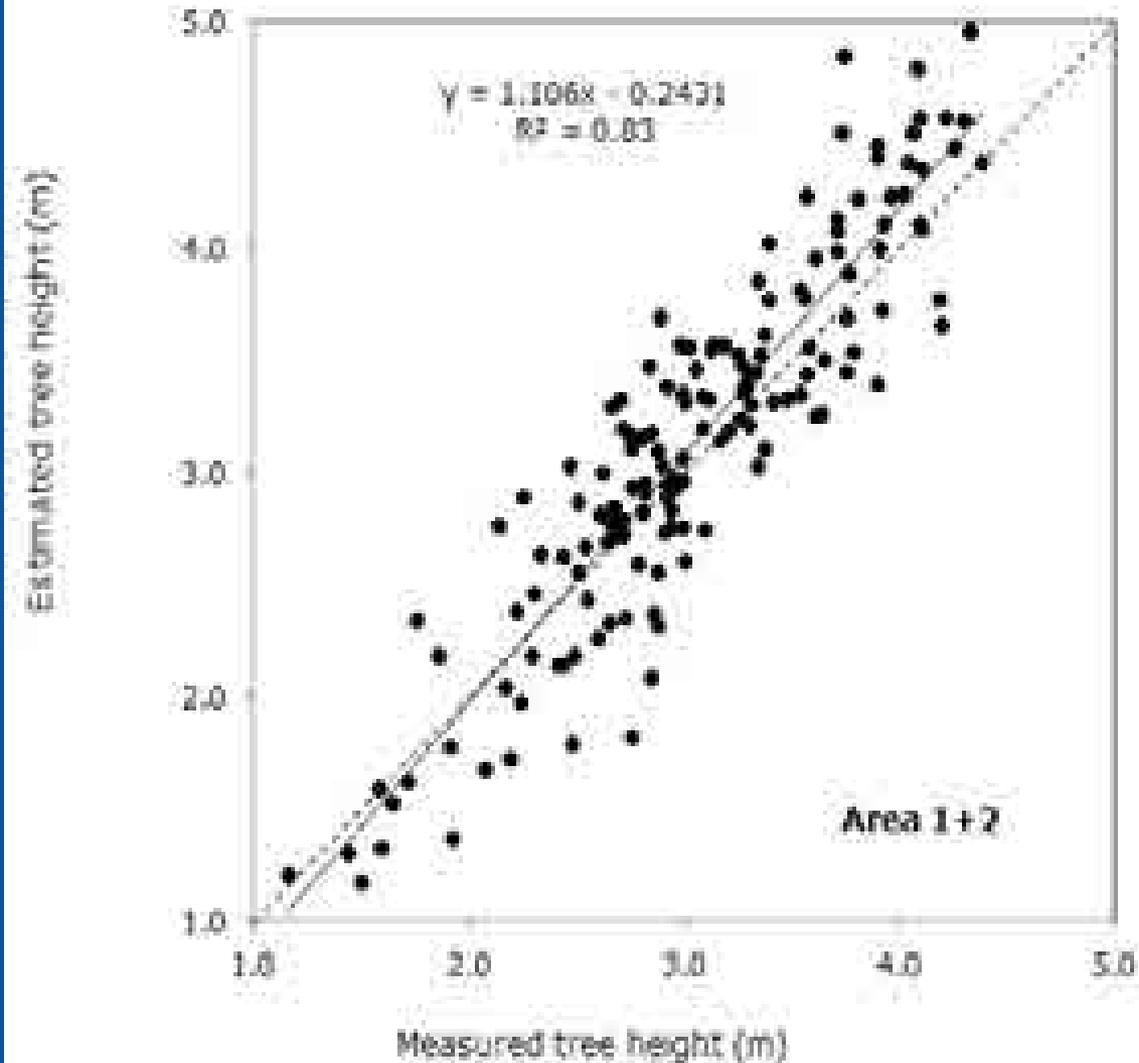
# Structure











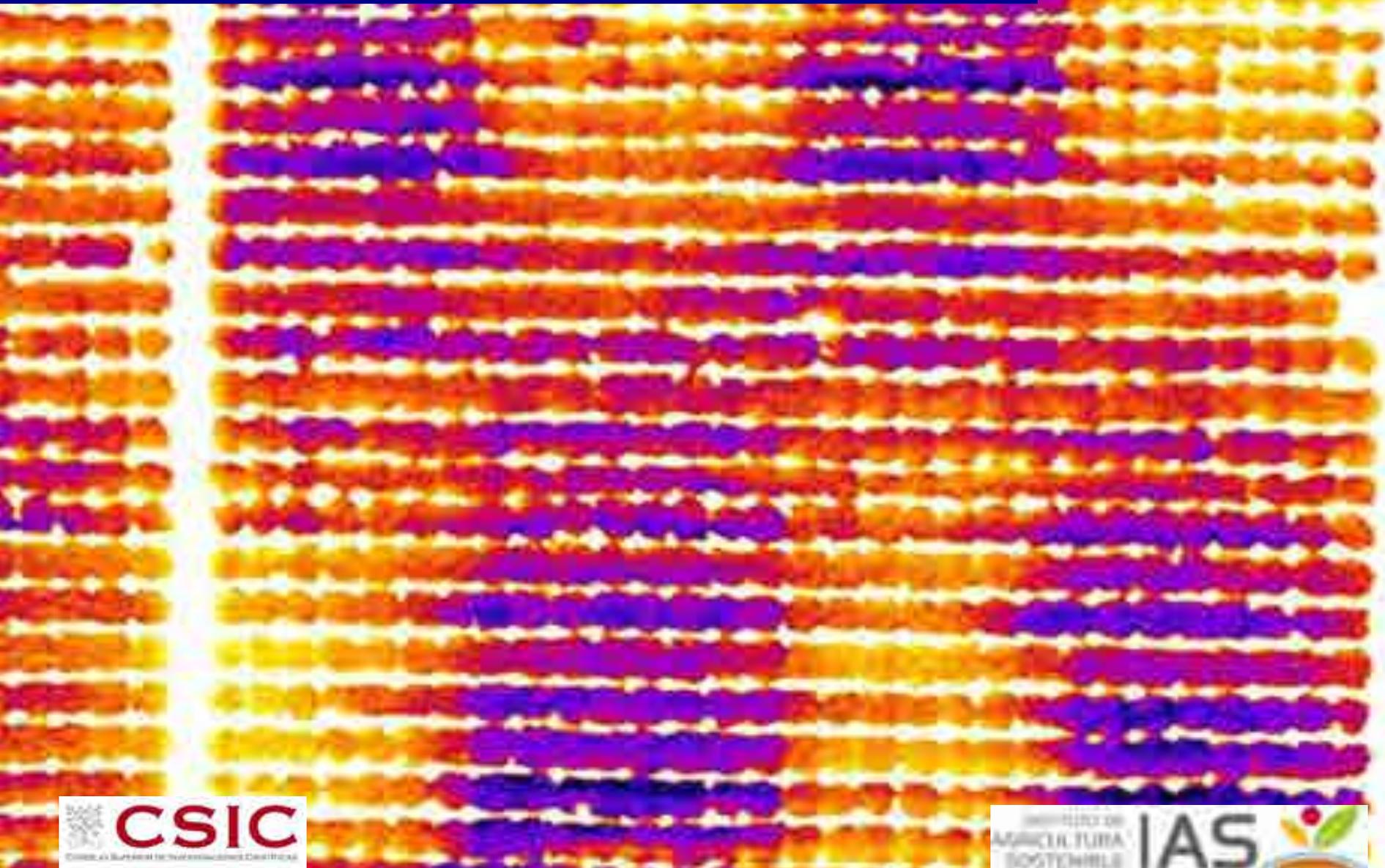
→ 10% R-RMSE  
(38 cm) when fully  
automated method is  
used (with no GCPs)

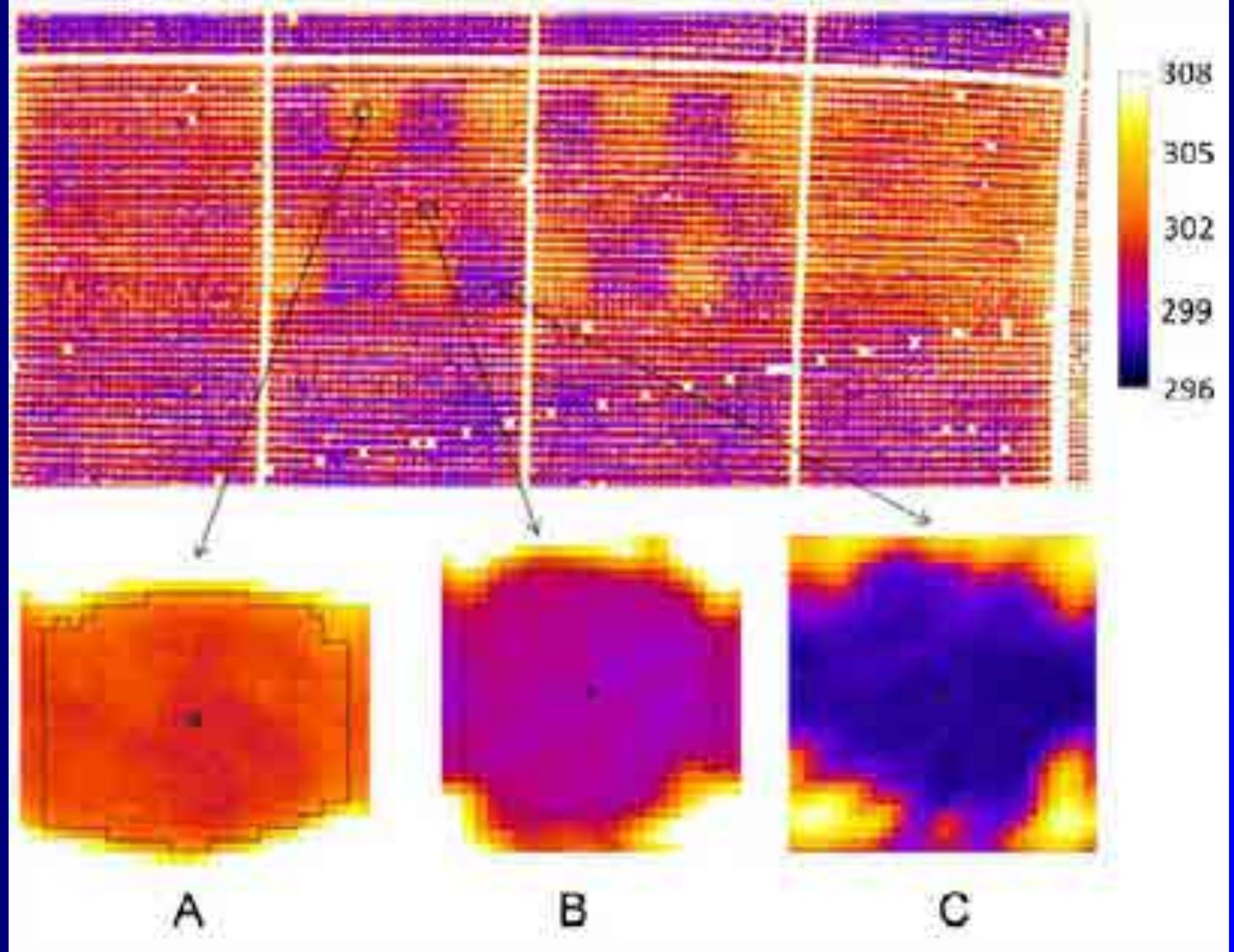
**Tree height  
estimation via SfM**



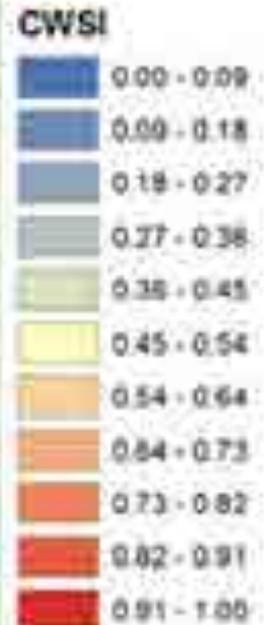
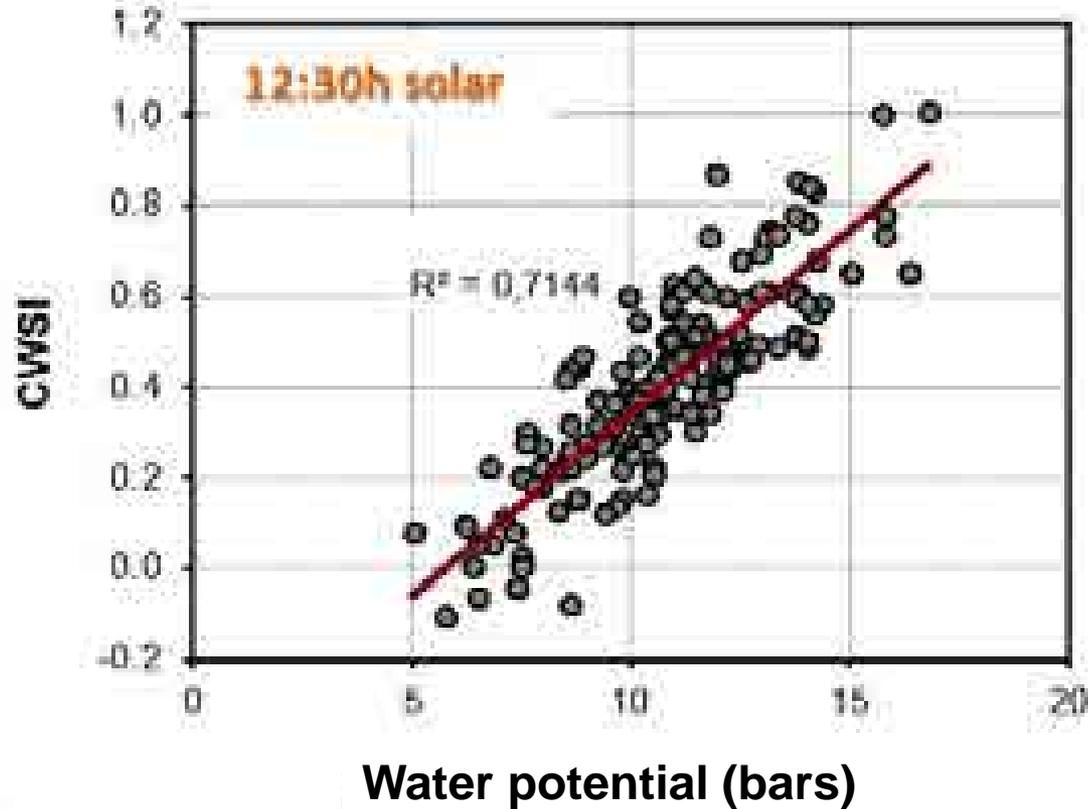
# Thermal

# Single-crown temperature for stress detection (40 cm resolution thermal image)



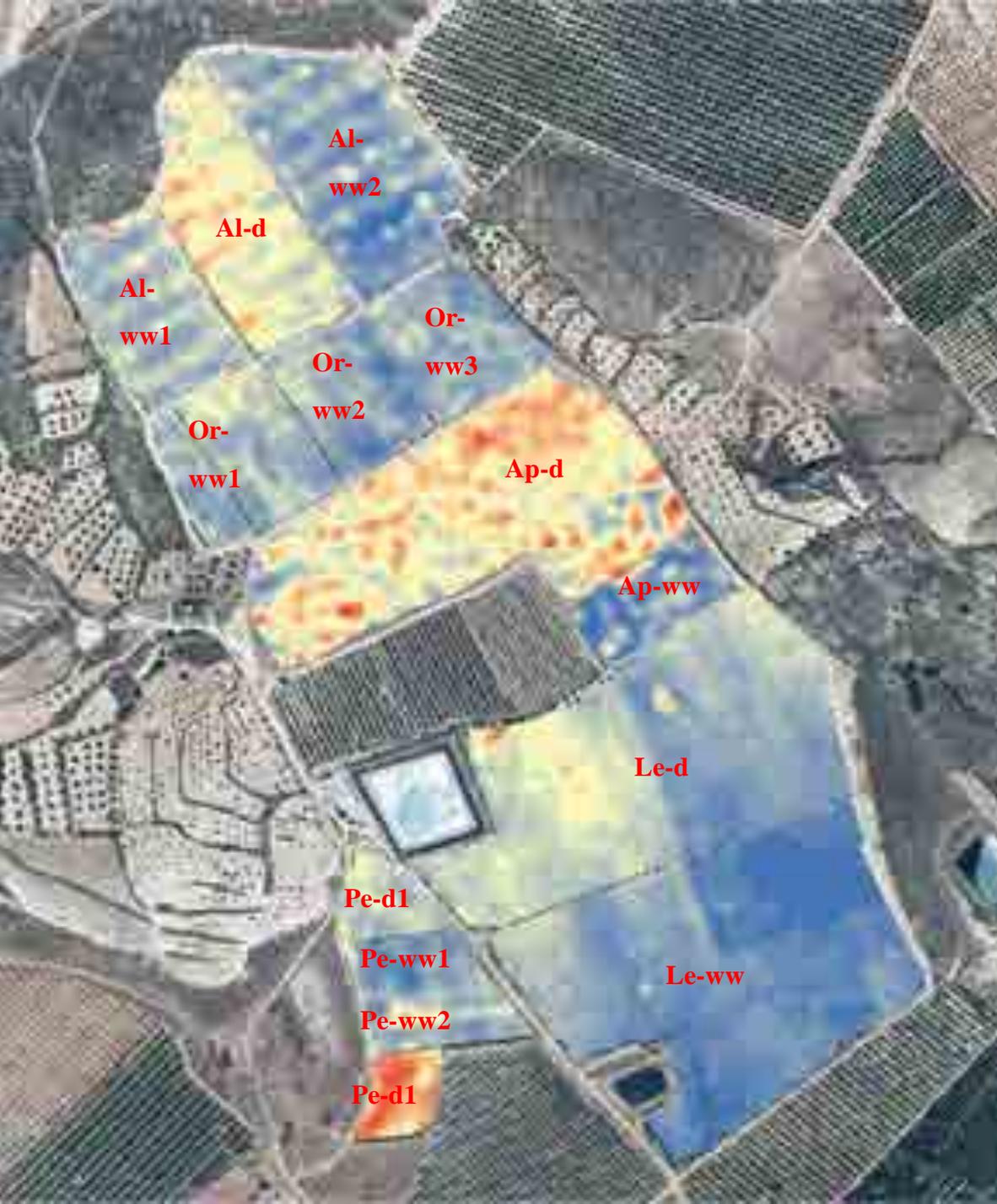


# CWSI map from UAV



0 60 120 240 Meters

# Map of CWSI – thermal-based indicator of stress from UAV



CWSI

0.0

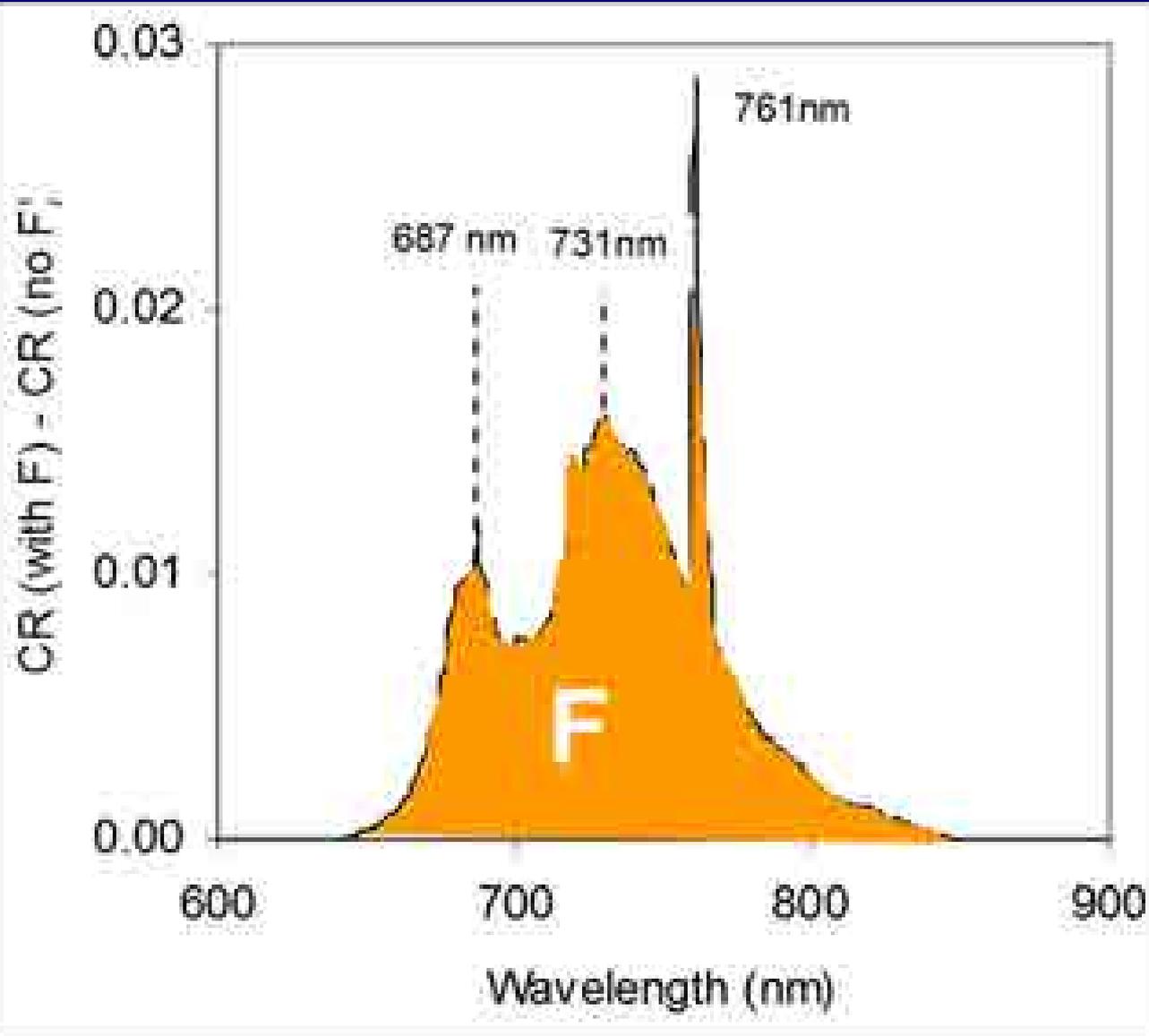


1.0

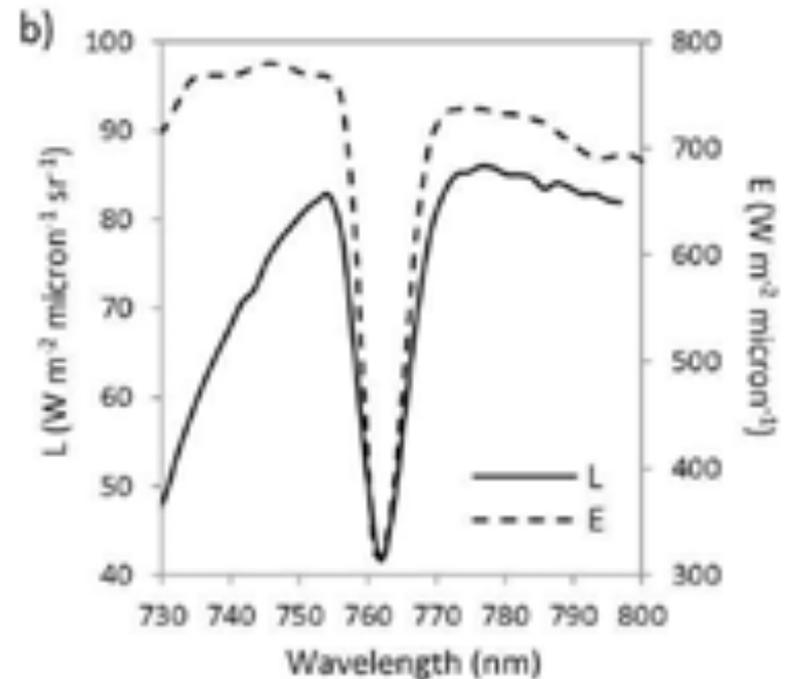
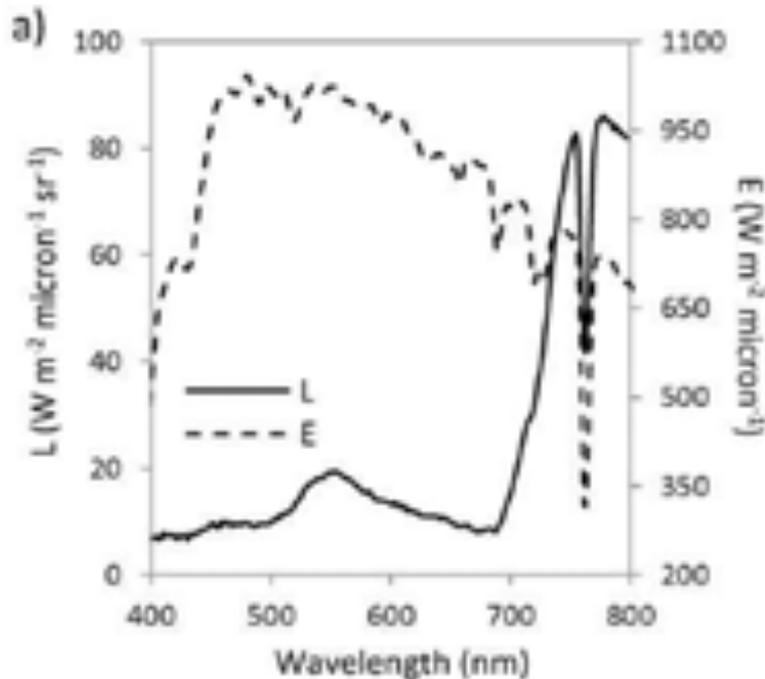
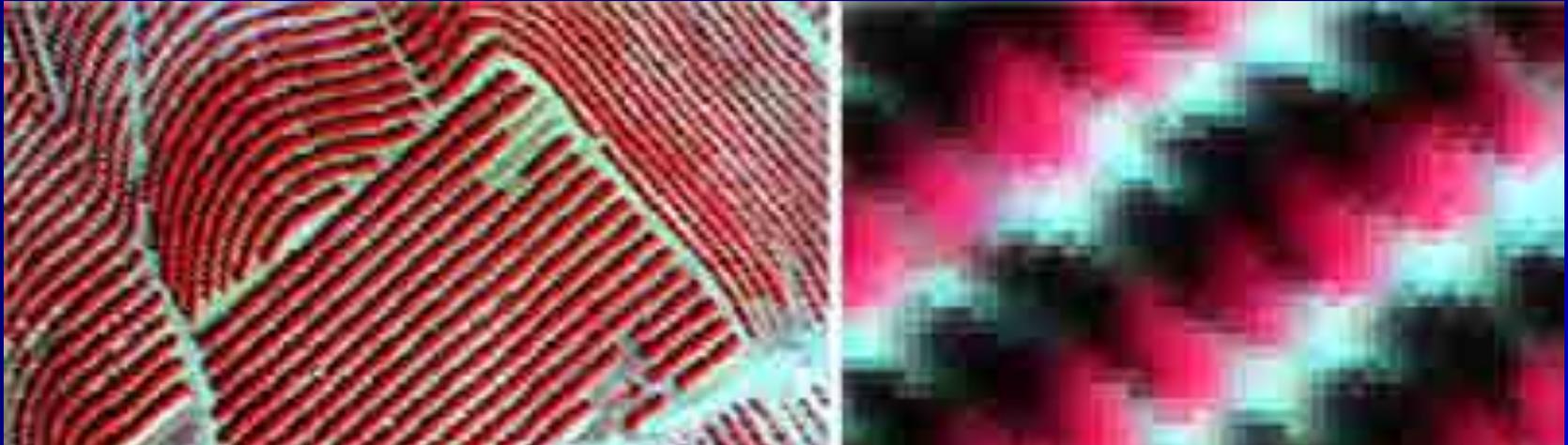
Gonzalez-Dugo et  
al. (2013)



# SIF & Pigments

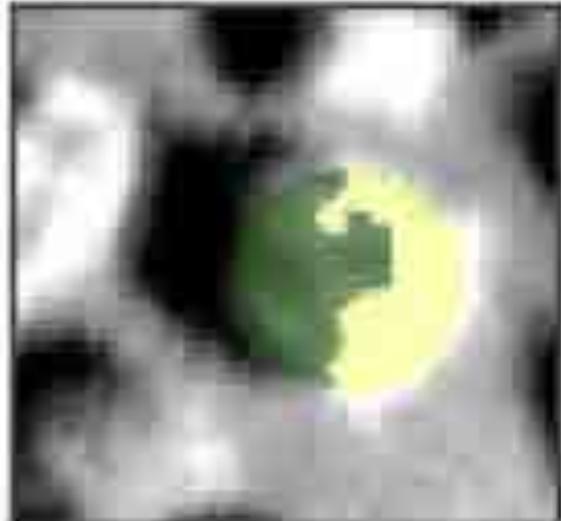
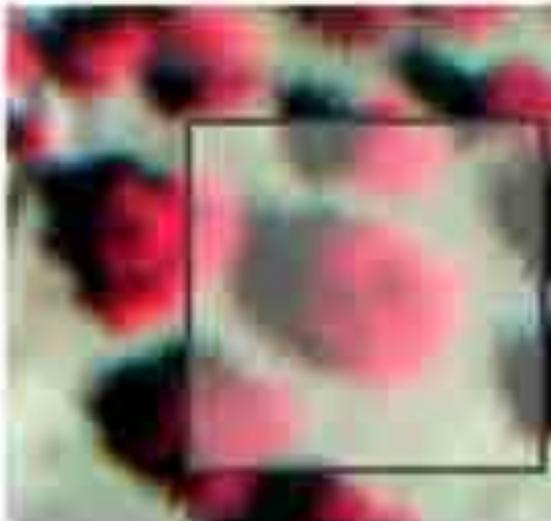


# Understanding the retrieval of SIF from broad-band (2-6 nm) hyperspectral imagers on board UAVs

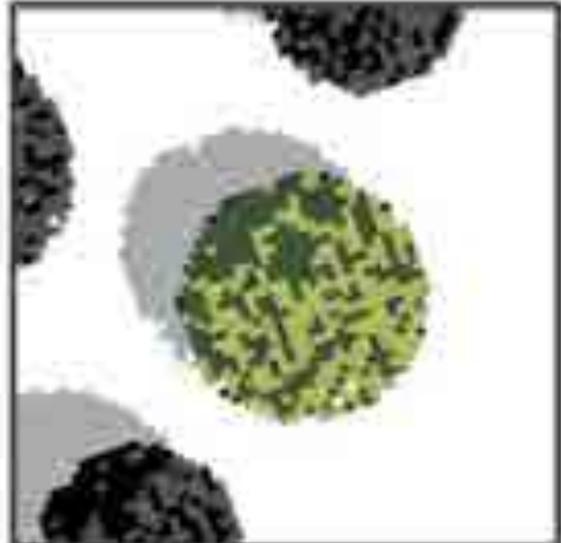
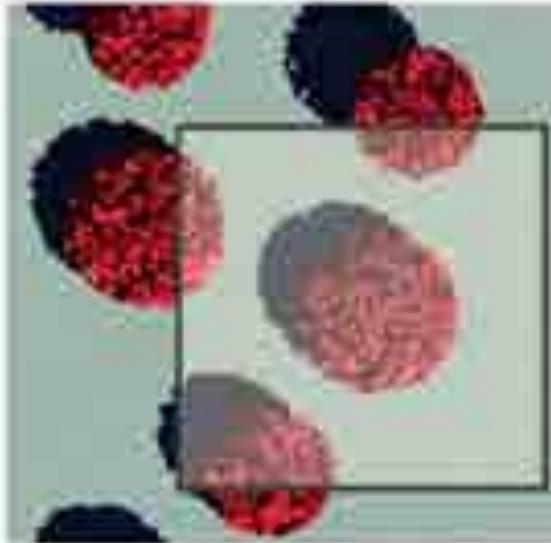


# Assessment of SIF retrieval using a 3D model (FluorFLIGHT)

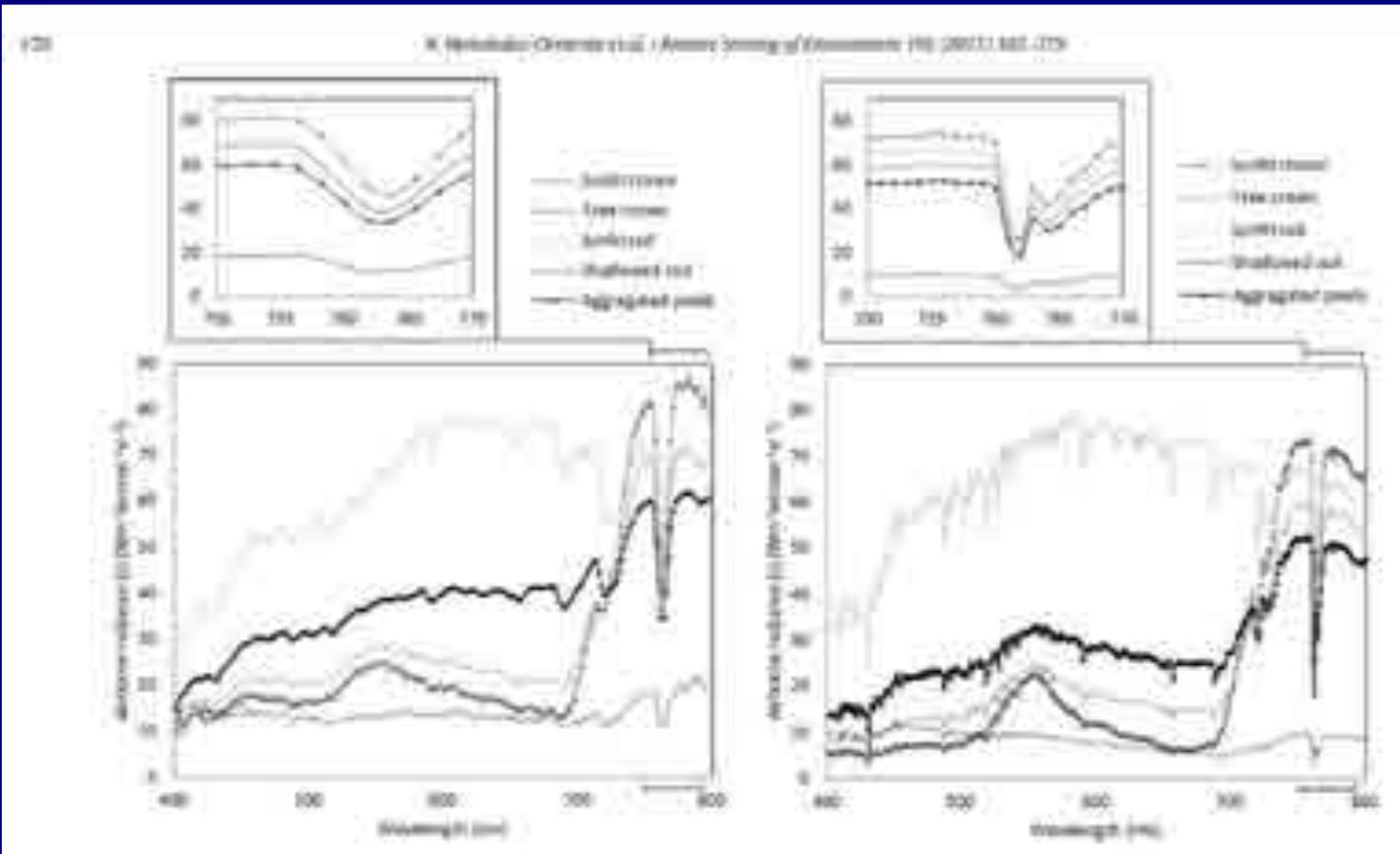
**Hyperspectral data**



**FluorFLIGHT simulations**



# Assessment of SIF retrieval using a 3D model (FluorFLIGHT)

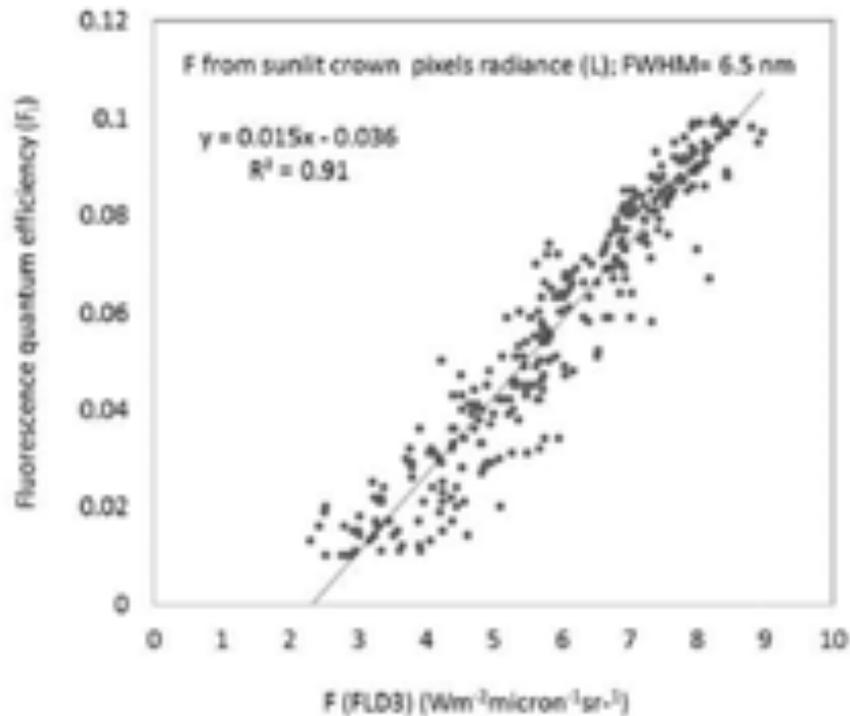


6.5 nm FWHM

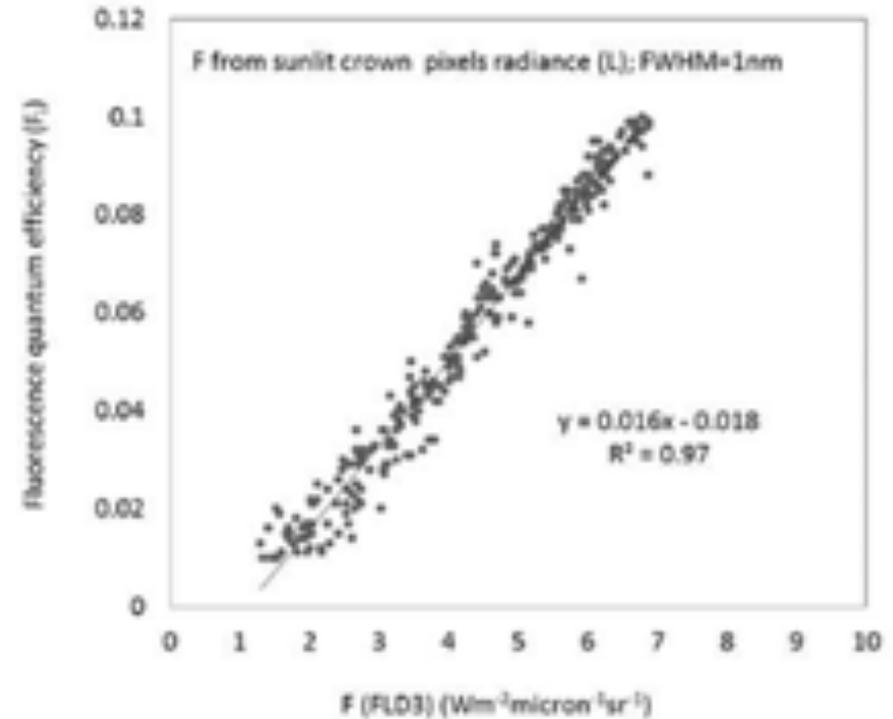
1 nm FWHM

# Assessment of SIF retrieval using a 3D model (FluorFLIGHT)

**6.5 nm FWHM**

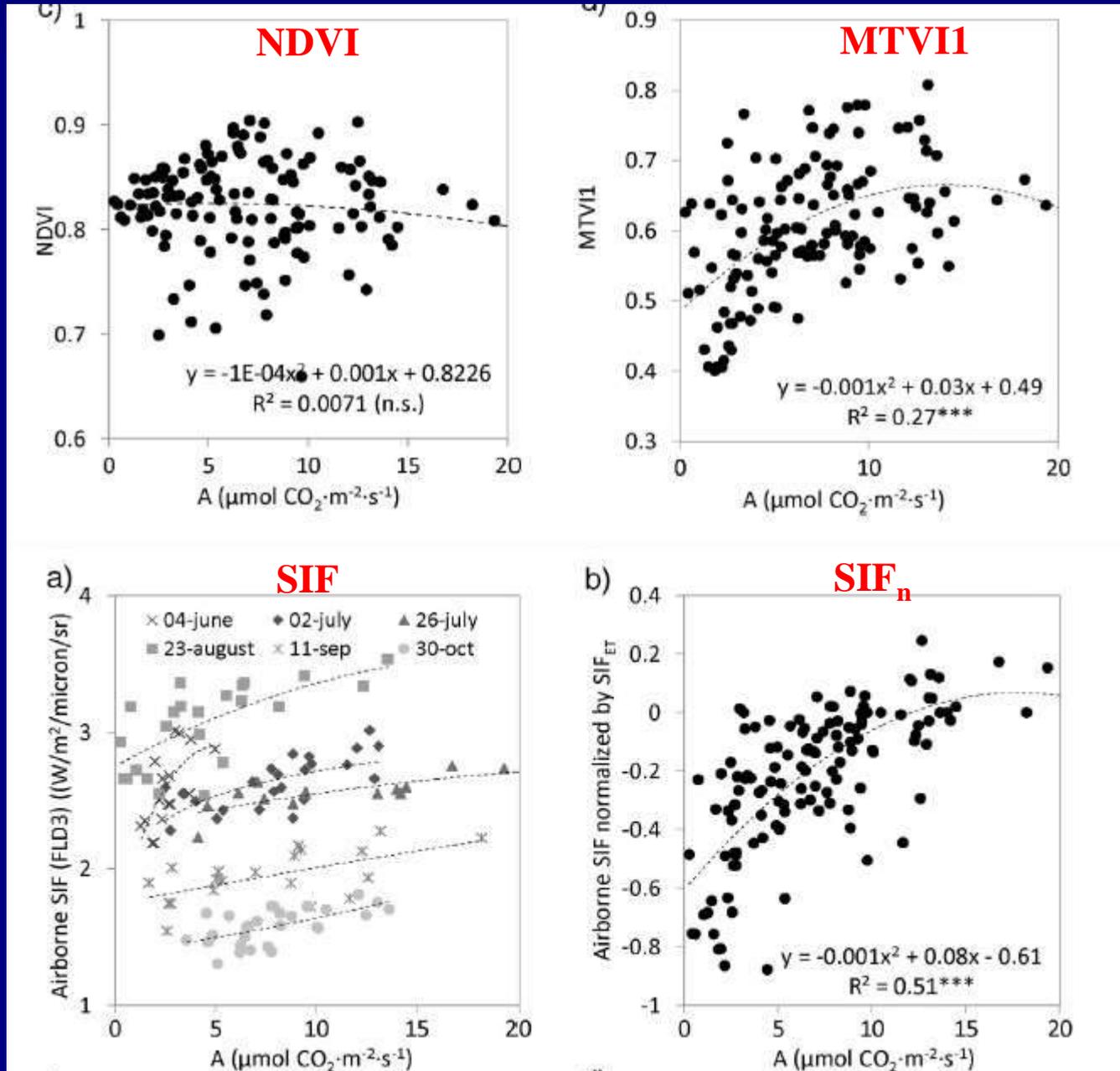


**1 nm FWHM**

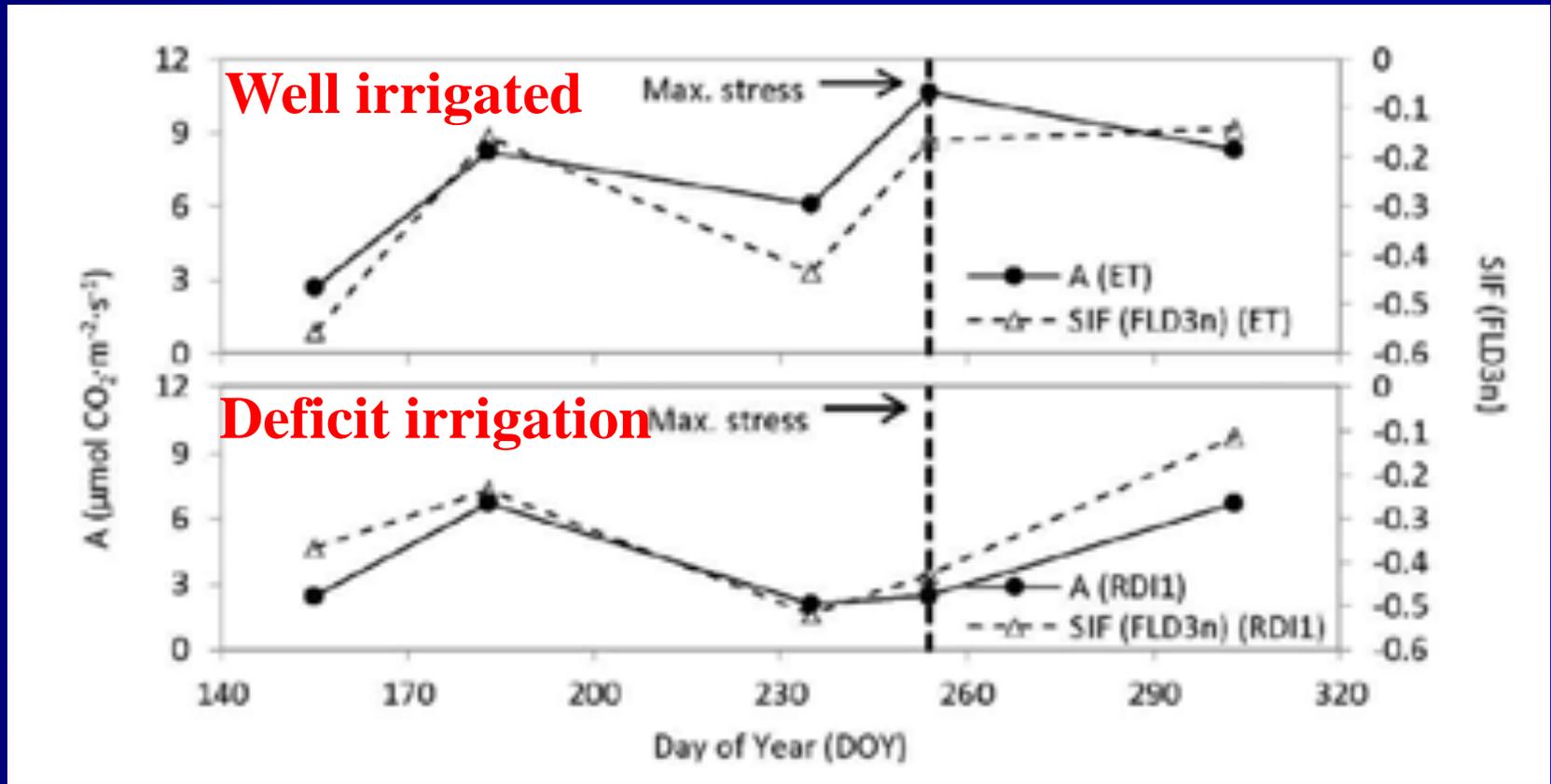


**6.5 nm FWHM & oversampling at 1.85 nm / band**

# Using SIF for water stress detection in precision agriculture



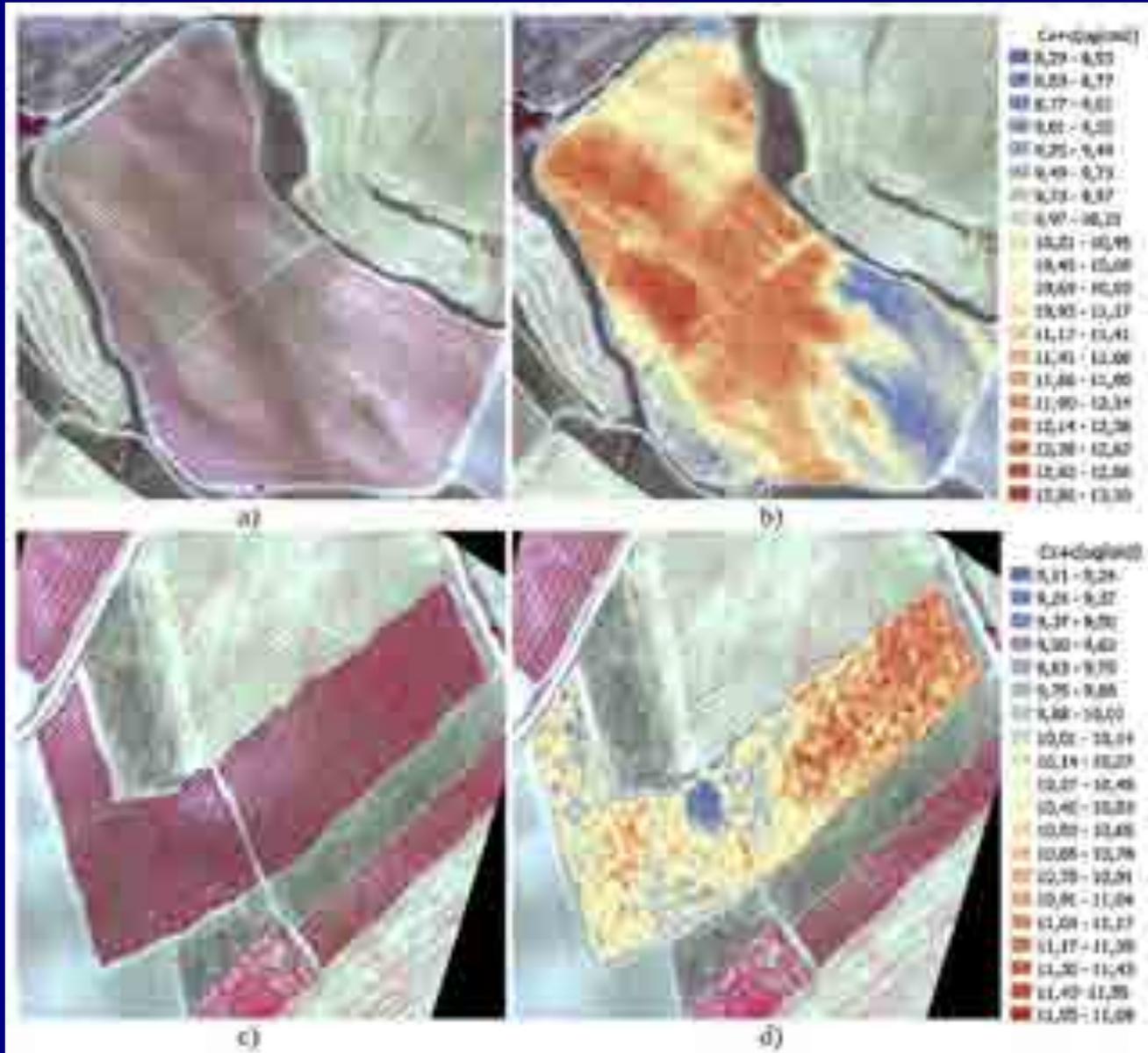
# Using SIF for water stress detection in precision agriculture



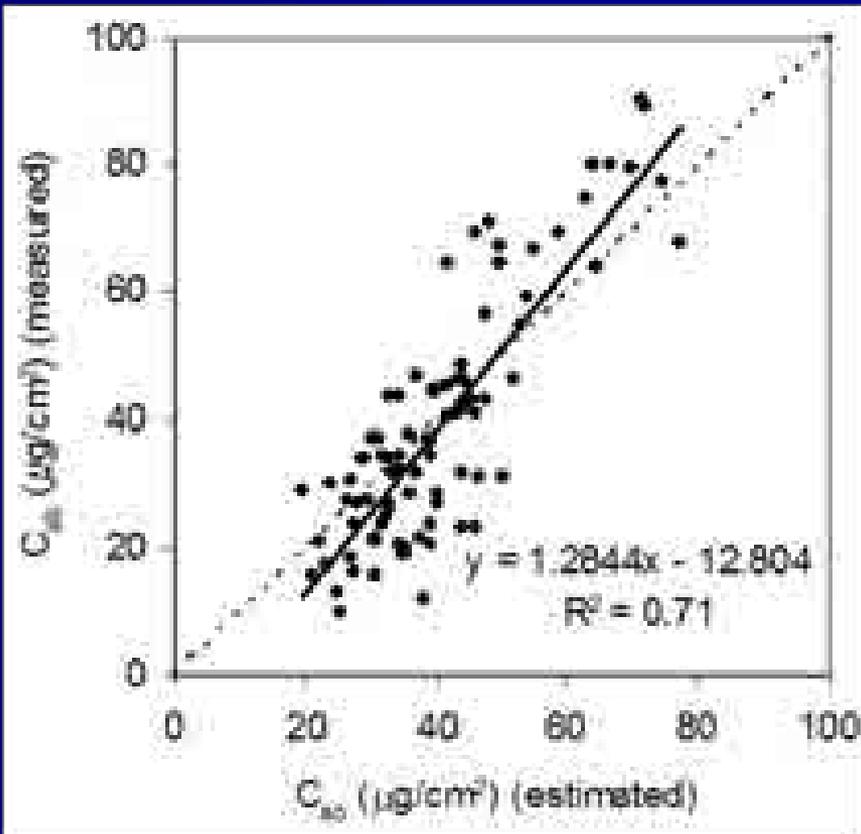
# Chlorosis detection



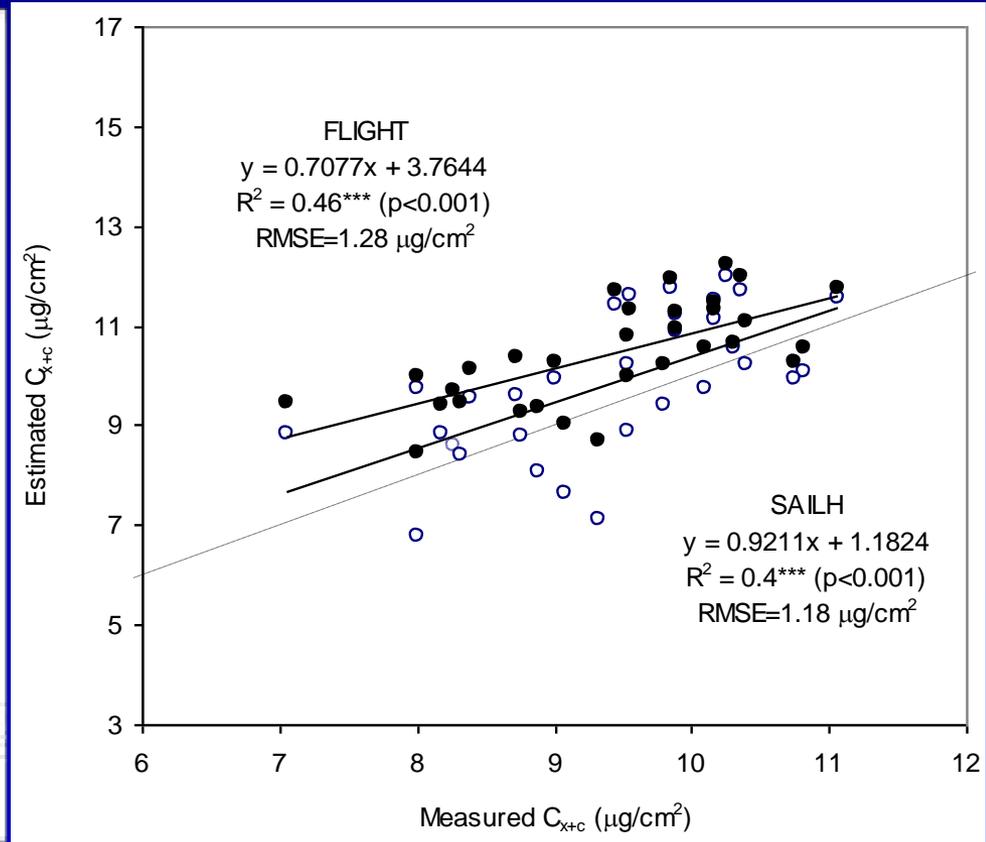
# Chlorophyll & Car content maps → *nutrient stress*



# Chlorophyll & Carotenoid content estimation



$C_{a+b}$



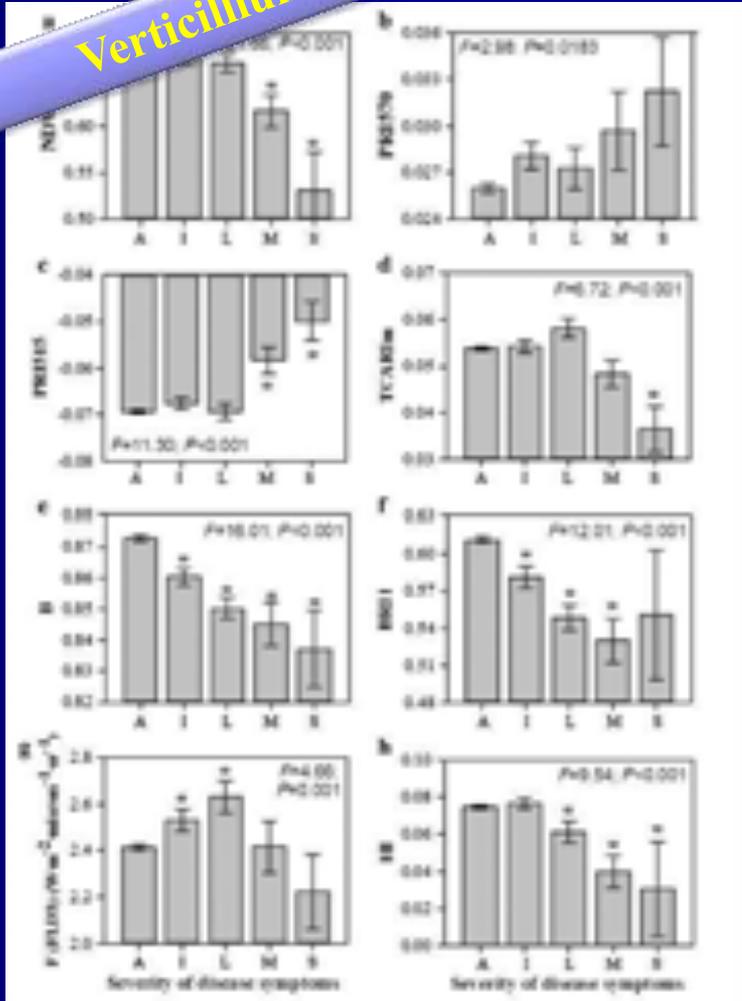
$C_{x+c}$



# Disease detection

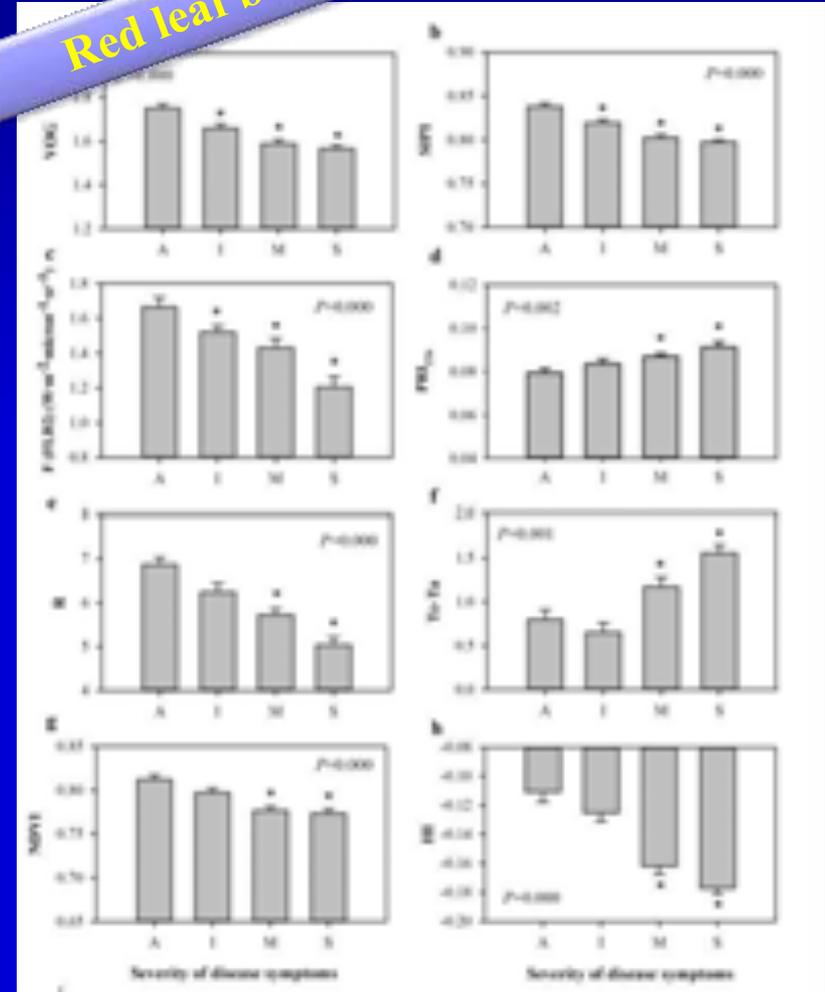
# VHR hyperspectral & thermal indices for disease detection

Verticillium wilt



Calderon *et al.* (2013; 2015)

Red leaf blotch

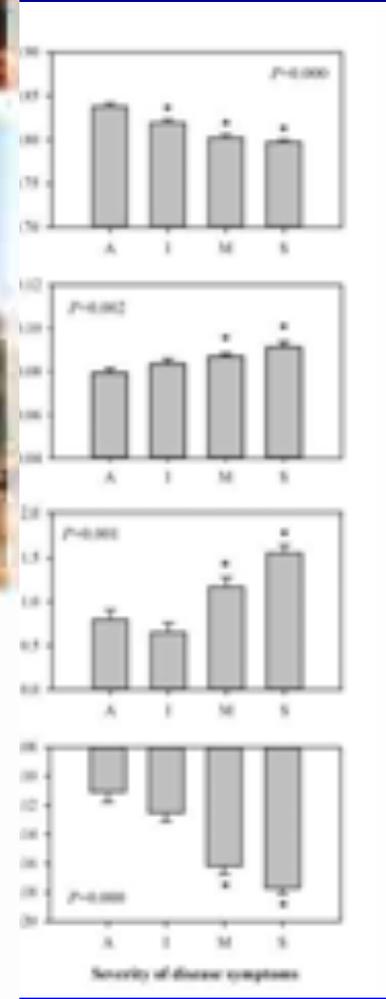
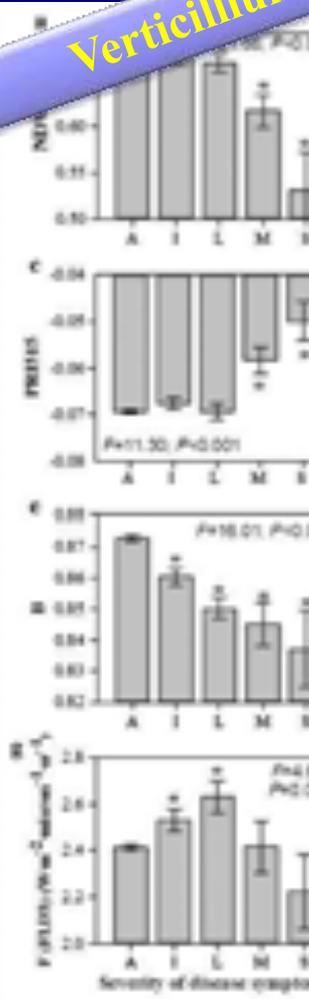


Lopez-Lopez *et al.* (2016)

VI

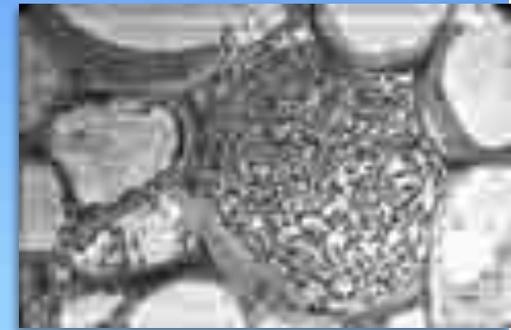
disease

Verticillium



ez-Lopez et al. (2016)

# Gallipoli, Ottobre 2013



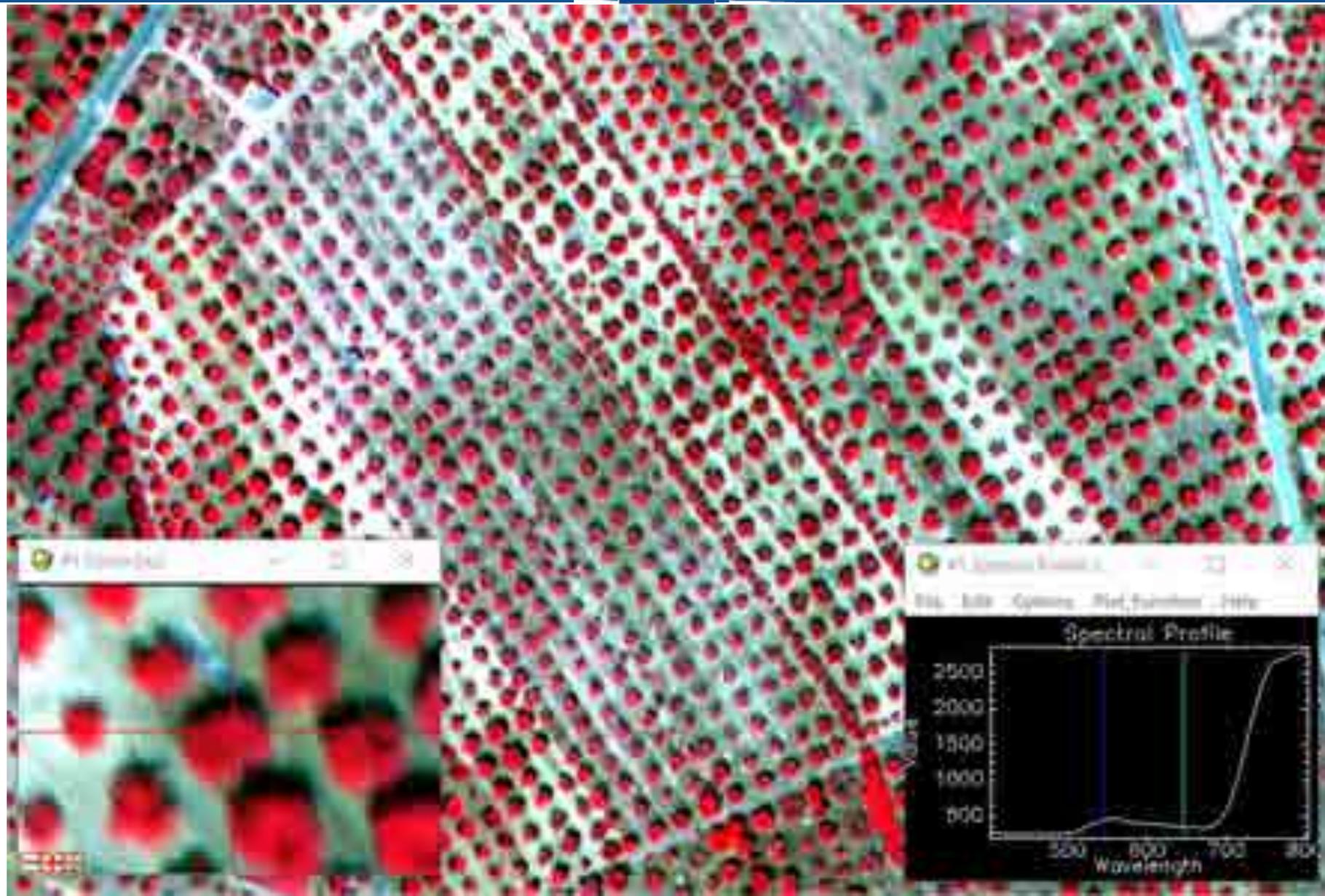
# Gallipoli, 9 Luglio 2015



# Hyperspectral 45 cm

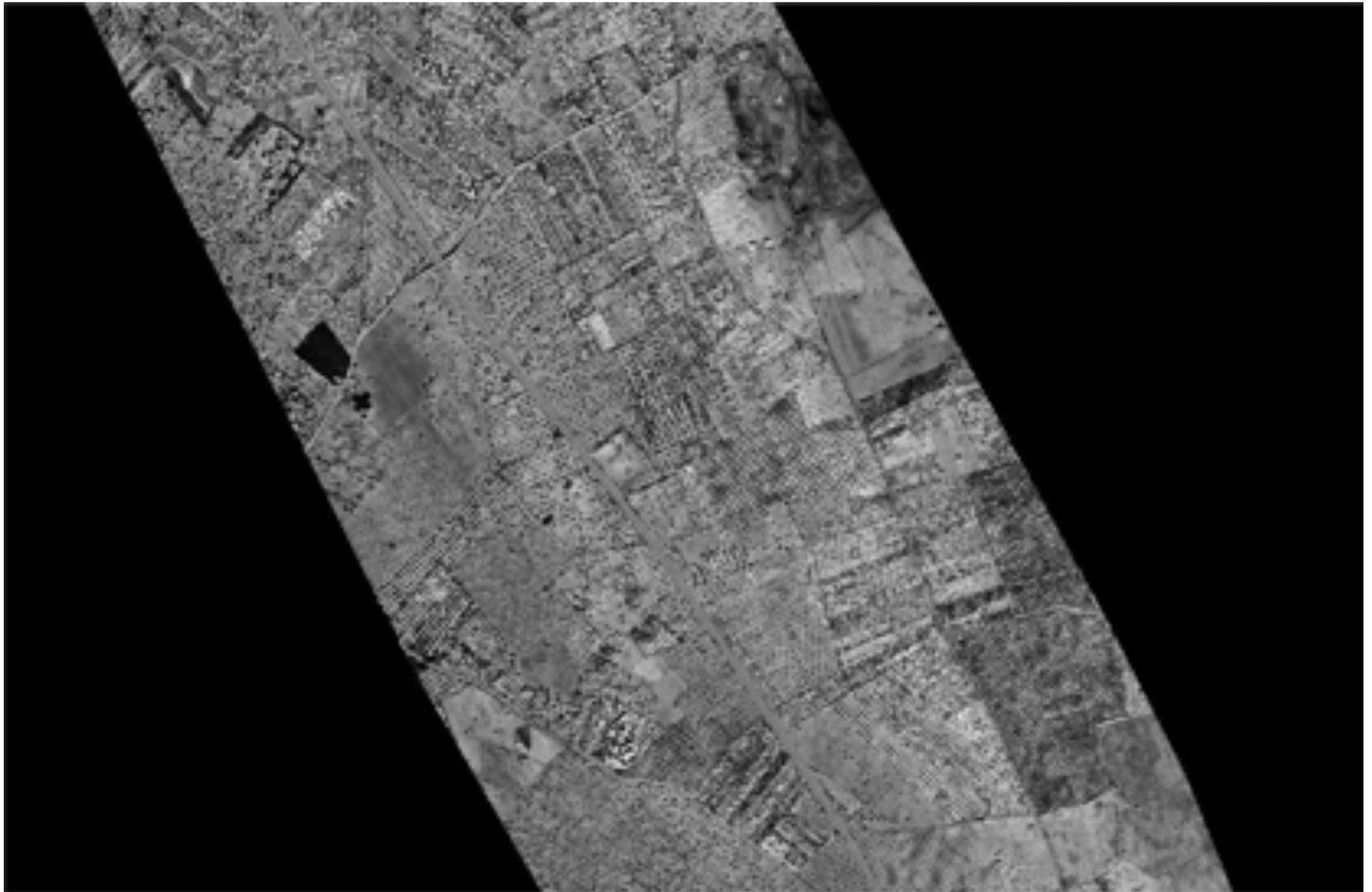


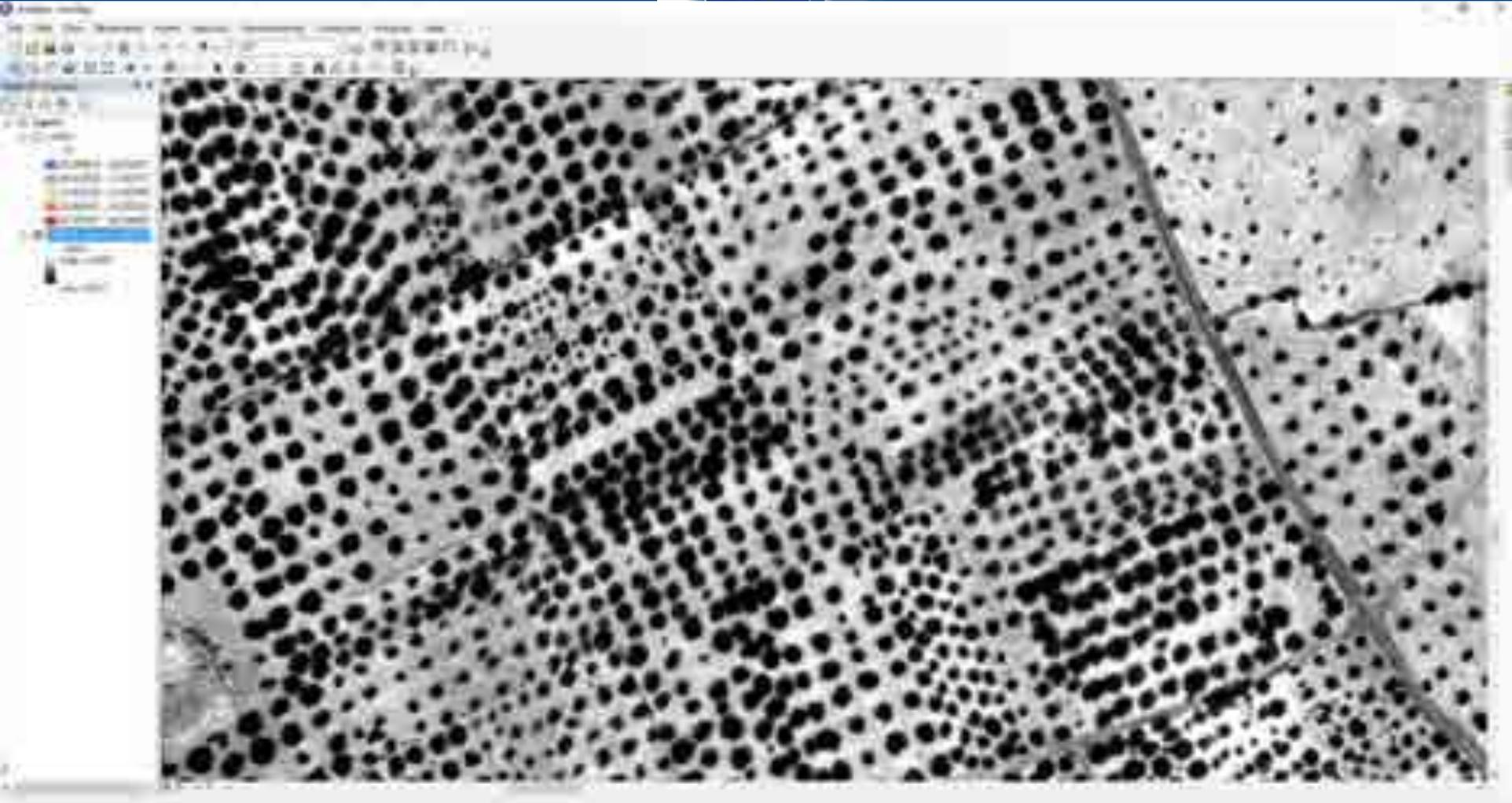
# Hyperspectral 45 cm





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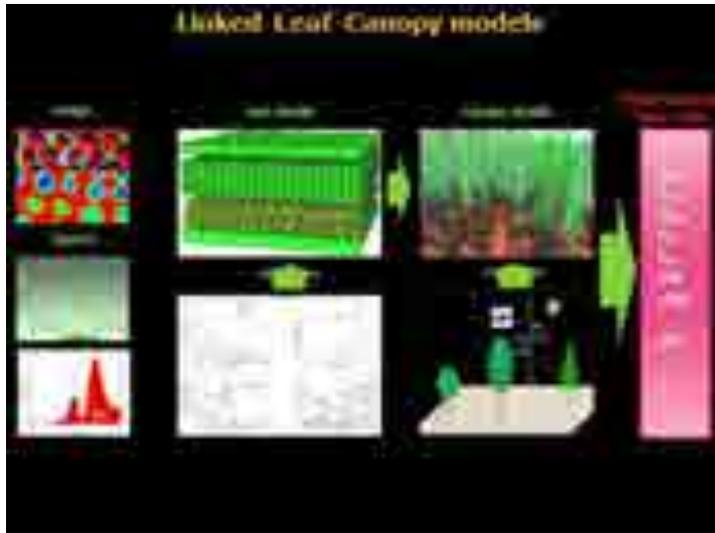
Thermal 60 cm



Thermal 60 cm



## Model-retrieved Plant Traits



## Index-based Plant Traits



+



Spectral bandset  
Traits available

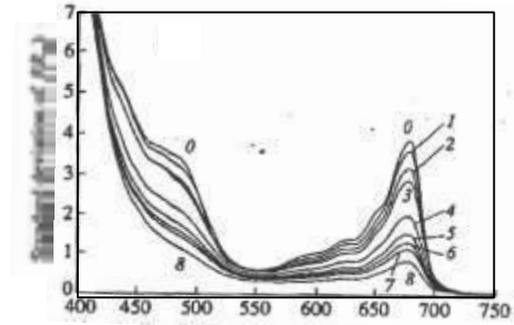
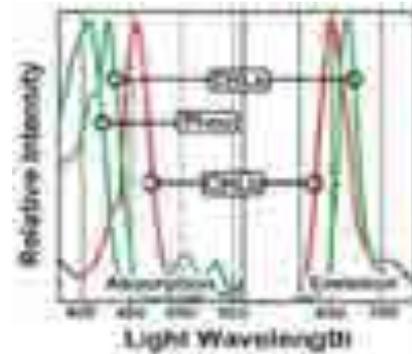
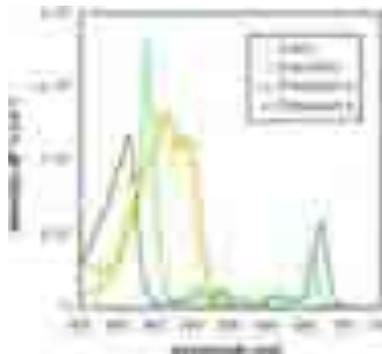


**Linear & non-linear  
Deep / machine learning  
(LDA / SVM / NN)**



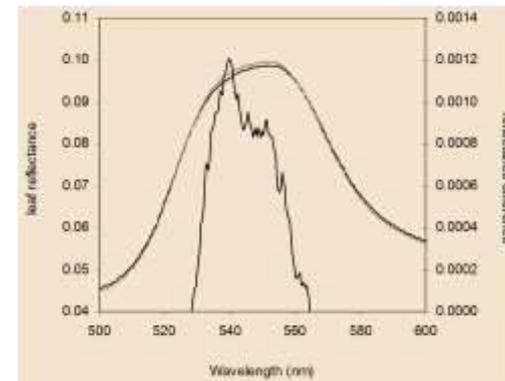
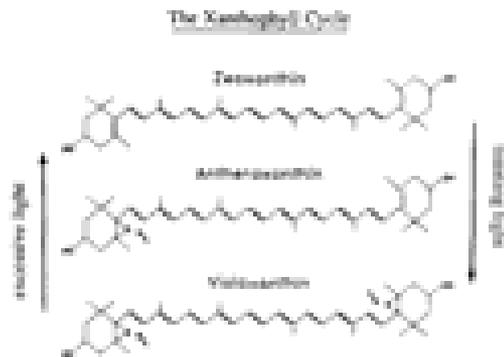
Tree by tree  
physiological  
assessment

## Chlorophyll degradation (Pheophytinization)

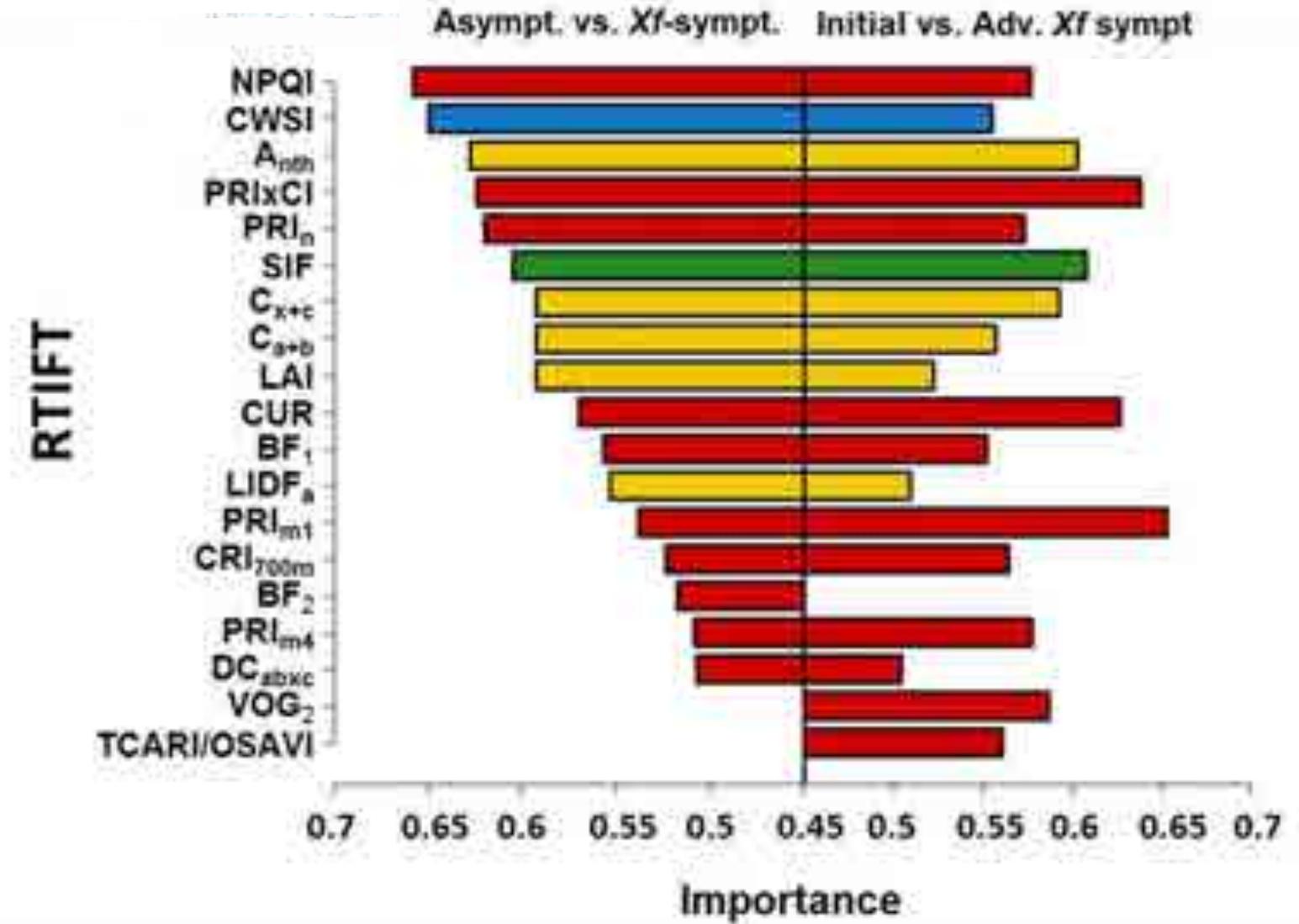


Merzlyak *et al.* (1997)

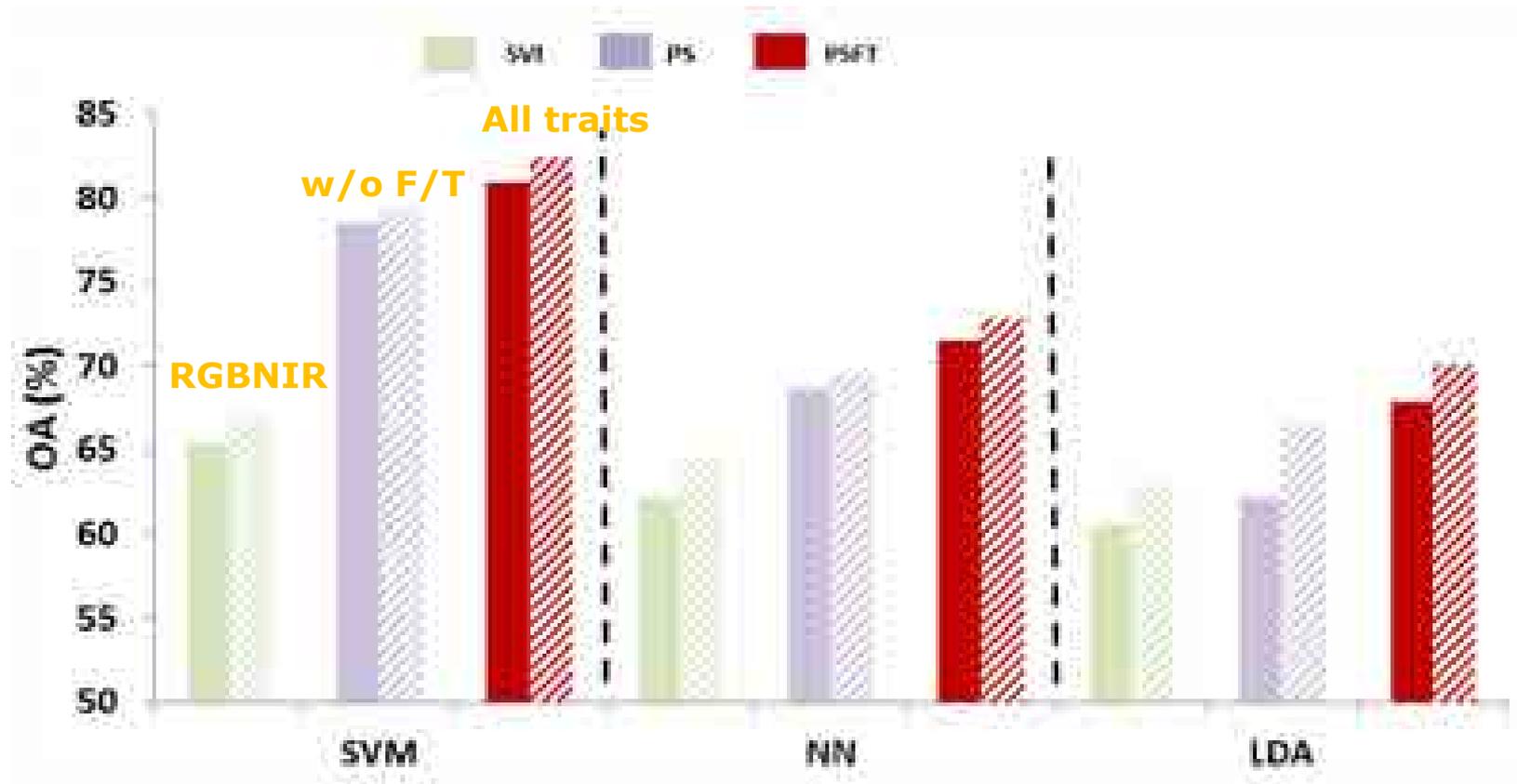
## Xanthophyll cycle (Epoxidation state)



# Sensitivity of Plant Traits to *Xf* symptoms



# Overall accuracy – 2 year dataset





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# Final remarks



- Tremendous progress in the past 15 years: from “toys” **to** science: scientific papers are critical
- Proved that we are not collecting *just* pretty pictures: quantitative RS is possible
- Calibration / atm. correction is still a weakness for some RS users / vendors of drones
- Progress is needed on hyperspectral use from drones: good quality spectra still hard to get
- More studies demonstrating larger scale RS from drones are needed to convince at other levels



Do you remember the definition of remote sensing in the 1980s ?

*"A solution looking for a problem"*



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Commission



**I have a drone. What  
can I use it for ?**





# **Plant physiological traits from high resolution hyperspectral and thermal imagery: models and indices for early stress detection**

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**European Commission  
Joint Research Centre (JRC)  
Directorate D – Sustainable Resources**

HELICOPTER



European Commission

MK-I



PILATUS



CROPSIGHT

# Benzin

(17' endurance)



# Benzin

(17' endurance)





# CropSight

(1 h endurance)



# Viewer



(1.5-3 h endurance)





THE BOREAS INFORMATION SYSTEM

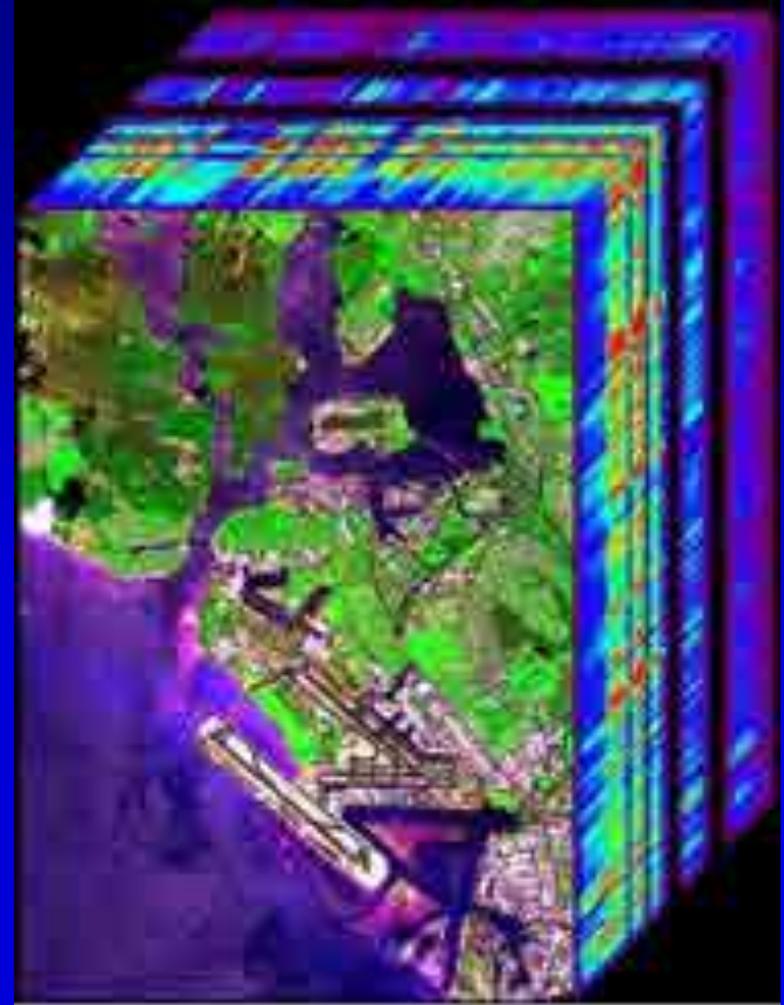
**The BOREAS Information System**  
Boreal Ecosystem-Atmosphere Study

NASA, NOAA, WDC, ONR  
 MSERC, CCMA, MFC, OFA  
Environment Canada  
Agriculture Canada

Boeing Stearman Flight Center  
Greenbelt, Maryland, USA

CASI hyperspectral imager –  
228 spectral bands @ 2 m  
spatial resolution





AVIRIS NASA-JPL hyperspectral sensor -  
224 contiguous spectral channels



## MIVIS / AHS / Daedalus – INTA

- INTA (Spain)
- DLR (Germany)
- NERC (UK)

# Remote Sensing Indicators of Vegetation Stress

## Visual

### ➤ Pigments-traits $C_{ab} / C_{ar}$

- Nutrient deficiencies / effects of diseases → less absorption at specific bands → captured by RT model inversion methods & sensitive indices

### ➤ Structural traits → canopy structure / LADF / vegetative growth

- Nutrient / water stress & effects of diseases → affects canopy growth → effects in the near infrared → captured by indices sensitive to canopy structure

## Pre-visual

### ➤ Xanthophyll cycle pigments (V+A+Z) & $A_{nth}$ → rapid changes phot.

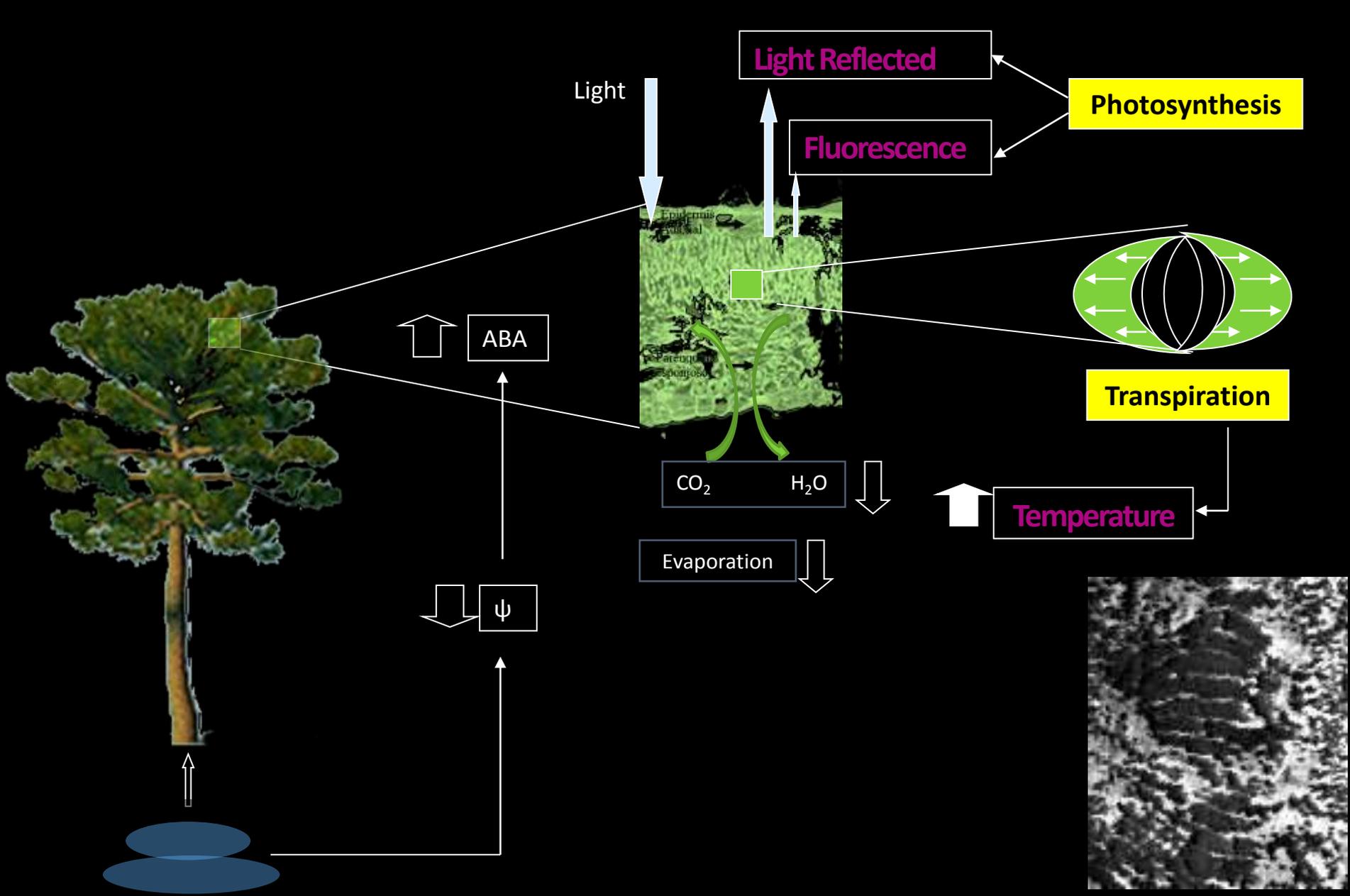
Efficiency & photoprotective roles → PRI: Indicator of the epoxidation state (EPS) of the xanthophyll pigments → under stress V+A+Z ↑ → R530 ↓ → PRI ↑

### ➤ Chlorophyll Fluorescence (CF) → F emission → Photosynthesis

- Excess energy → function of the photosynthetic state
- 3% - 4 % of the radiance levels
- Main interest to monitor remotely photosynthesis & stress condition

### ➤ Temperature: $T_c$ → $T_c - T_a$ → CWSI

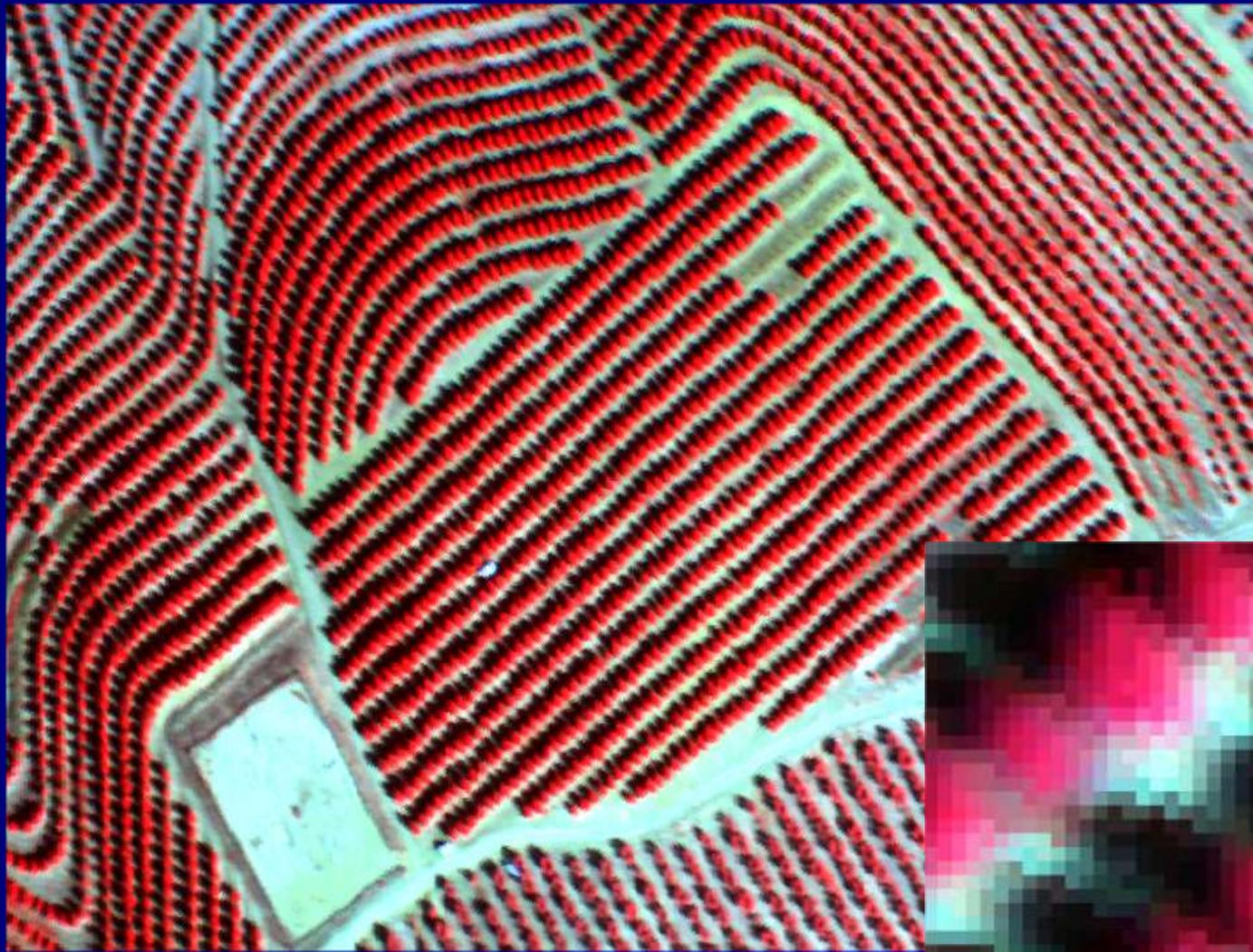
- Stomata closure → Reduction in transpiration and  $CO_2$  uptake → Decreased photosynthesis → *Temperature increase*

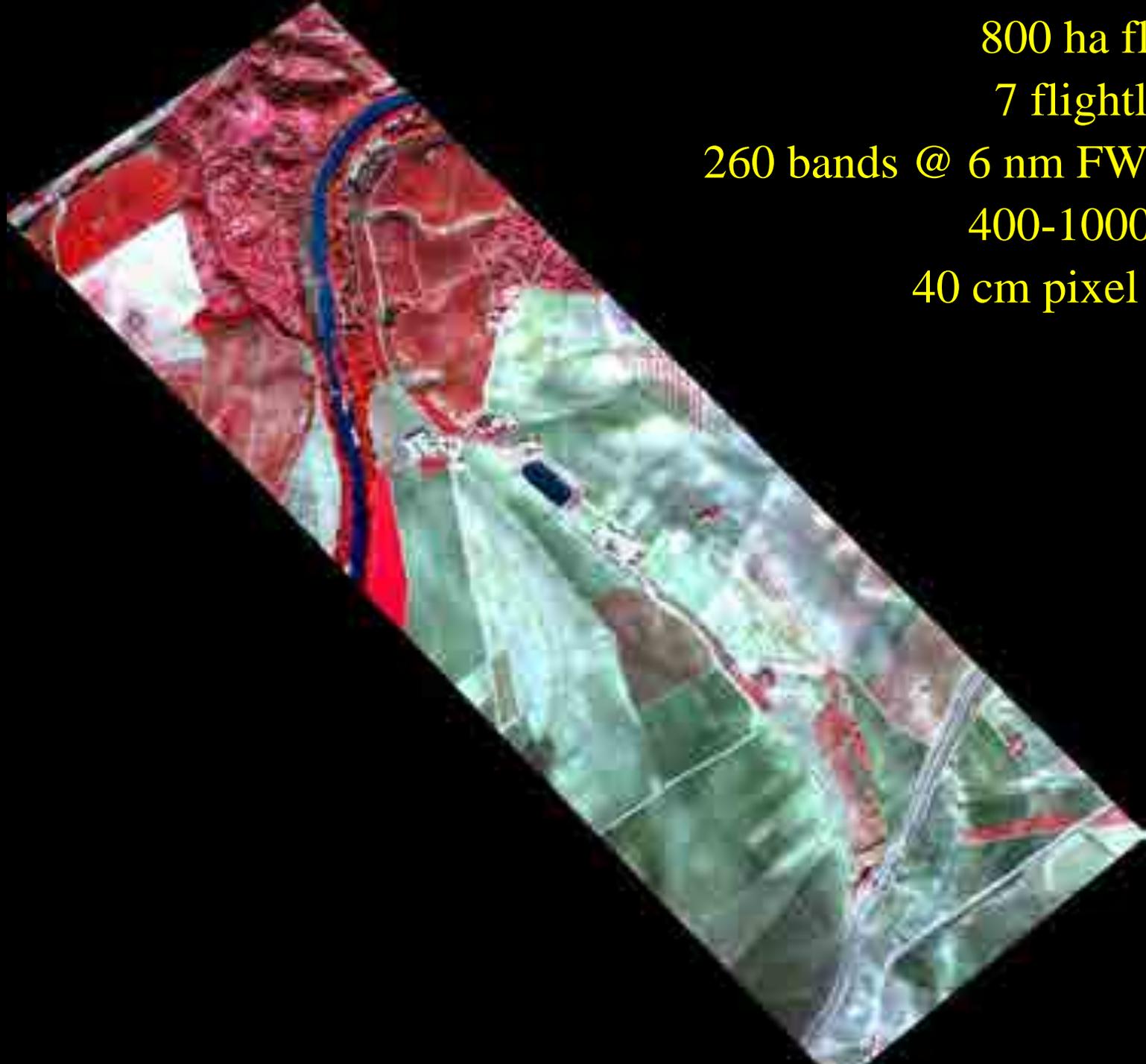












800 ha flight

7 flightlines

260 bands @ 6 nm FWHM

400-1000 nm

40 cm pixel size